

# $(2+1)$ -dimensional (Quantum) Gravity

Felix Haas

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Quantum Gravity Seminar

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## Why do we want to study $(2+1)$ -dim QGr?

- QGr in  $(3+1)$  dimensions is hard
- We like playgrounds.
- Playgrounds that hold similar features to the real world (symmetries, black holes and their thermodynamics, ?holography?...).
- Many conceptual problems remain unaltered (problem of time, background independence...)
- Others are solved (nonrenormalizability, implementation of constraints...)
- Can address questions about different approaches to QGr: Do we need topology change? Do we need a TOE? Are there more than one, possibly physically different, but mathematically concise quantum theories of gravity?
- Also mathematically 3-dim gravity and Chern-Simons theory have led to new research fields (TQFT, relation to the Jones polynomial...)

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### Flat $G$ -connections

Consider a  $G$ -gauge theory on a compact, simply connected  $n$ -dim manifold  $M_g$  of genus  $g$ , whos EL-eqn. allow only flat  $G$ -connections  $A$ .

- The physical phase space (PPS) of our  $G$ -gauge theory under consideration is the so called **moduli space**

$$\text{PPS} = \mathcal{M} := \{A \in \mathcal{A} | F_A = 0\} / G$$

- We can fully encode the connection in parallel transports and holonomies. But parallel transports of a flat connection is trivial, only on a genus zero  $M_0$  manifold!
- If we have  $g > 0$  we get nontrivial holonomies by performing parallel transports around loops that enclose the holes.
- The **fundamental group**  $\pi_1(M_g)$  encodes the curves of distinct homotopy class, and we have the homomorphism

$$H : \pi_1(M_g) = \langle c_1, \dots, c_{2g} \rangle \rightarrow G, \quad H[\gamma] := \text{P exp} \left[ \int_{\gamma} A \right]$$

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### Dimension of the Moduli Space

- This captures almost all DOF of the theory. We are still free to perform a  $G$ -conjugation at each base point of the generating loops  $c_i$ :  $H[c_i] \rightarrow g^{-1}H[c_i]g$ . Modding out gives

$$\mathcal{M} \simeq \text{Hom}(\pi_1(M_g), G)/G$$

- We have a bound for the dimension of  $\text{Hom}$ :  $\dim(\text{Hom}(\pi_1(M_g), G)) \leq |G|^{2g}$
- **The PPS is finite dimensional!**
- The PPS depends crucially on the topology of spacetime.
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#### Why (2+1)-dim gravity is so simple

- In 3dim the Weyl tensor  $C_{\alpha\beta\gamma\delta}$  vanishes  $\Rightarrow$  The Ricci tensor  $R_{\mu\nu}$  determines the Riemann tensor  $R_{\alpha\beta\gamma\delta}$ :

$$R_{\alpha\beta\gamma\delta} = [\text{Combination of } g's]_{\alpha\beta\gamma\delta}^{\mu\nu} R_{\mu\nu}$$

- $\Rightarrow$  solutions to the vacuum Einstein equations are not only Ricci flat but also flat (Riemann flat).
- The PPS=moduli space is finite dimensional!
- Our space is locally Minkowski (dS or AdS in the presents of  $\Lambda = \pm|\Lambda|$ ), and has no local degrees of freedom
- A physicists take: The same follows from a counting argument:  
 $h_{ab}, P_{ab}$  have  $n(n-1)/2$  DOF each.  
 $n$  DOF are eliminated by constraints,  $n$  DOF by coordinate choice.  $\Rightarrow$

$$n(n-1) - 2n = n(n-3) \quad \text{DOF} \quad \Rightarrow \quad 0 \text{ DOF in } n = 3$$

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## The Newtonian Limit in n dimensions

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}, \quad \bar{h}_{\mu\nu} := h_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}h^\sigma_\sigma, \quad h_{\mu\nu} = \bar{h}_{\mu\nu} - \frac{1}{n-2}\eta_{\mu\nu}\bar{h}^\sigma_\sigma$$

- The linearized Einstein equations in the gauge  $\partial^\mu \bar{h}_{\mu\nu} = 0$  become

$$-\frac{1}{2}\partial^\sigma\partial_\sigma\bar{h}_{\mu\nu} = 8\pi GT_{\mu\nu} + \mathcal{O}(h^2).$$

- The Newtonian Limit is obtained by setting  $T_{00} \approx \rho$ , all other  $T_{\mu\nu} \approx 0$  and  $\partial/\partial t = 0$

$$-\frac{1}{4}\nabla^2\bar{h}_{00} = \nabla^2\Phi = 4\pi G\rho$$

- The geodesic equation reduces to

$$\frac{d^2x^i}{dt^2} - \frac{1}{2}\partial_i h_{00} = 0, \quad \Leftrightarrow \quad \frac{d^2x^i}{dt^2} + \frac{2(n-3)}{(n-2)}\partial_i\Phi = 0$$

In  $n = 3$  test particles experience no Newtonian Force.

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- The linearized Einstein equations in the gauge  $\partial^\mu \bar{h}_{\mu\nu} = 0$  become

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$$\frac{d^2x^i}{dt^2} - \frac{1}{2}\partial_i h_{00} = 0, \quad \Leftrightarrow \quad \frac{d^2x^i}{dt^2} + \frac{2(n-3)}{(n-2)}\partial_i\Phi = 0$$

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- Independent variables are the Dreibein  $e_\mu^a$  and the spin connection  $\omega_\mu^{ab}$ .

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Which introduces an additional  $SO(2,1)$ -invariance under  $e_\mu^a \rightarrow O_b^ae_\mu^b$ .

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$$S_{\text{EH}} = 2 \int_M \left[ e^a \wedge d\omega_a + \frac{1}{2} \epsilon_{abc} e^a \wedge \omega^b \wedge \omega^c + \frac{\Lambda}{6} \epsilon_{abc} e^a \wedge e^b \wedge e^c \right],$$

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## What are the Diffeomorphisms?

- Let  $\phi \in \text{Diff}(M)$  and furthermore locally  $\phi = F_t^X$  flow of a vector field  $X$ .

$$\begin{aligned}\delta e^a &= L_X e^a = d\rho^a + \epsilon_{abc}\rho^c\omega^b - \epsilon_{abc}\tau^b e^c \\ \delta\omega^a &= L_X\omega^a = d\tau^a + \epsilon_{abc}\tau^c\omega^b - \Lambda\epsilon_{abc}\rho^c e^b,\end{aligned}$$

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- For the transformation identities above the EOM were used!
- The above transformation laws as well as the form of the EH-Lagrangian ( $\Lambda = 0$ )  $e \wedge d\omega + e \wedge \omega \wedge \omega$  suggest, to try and link 3-dimensional vacuum gravity with **Chern-Simons theory**:

$$S_{\text{CS}}[A] := \int_M \text{tr} \left[ A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right]$$

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$$\text{tr}[J_a P_b] = \eta_{ab}, \quad \text{tr}[J_a J_b] = \text{tr}[P_a P_b] = 0.$$

- Using these, it is easy to show

$$S_{\text{CS}} = S_{\text{EH}}|_{\Lambda=0}.$$

- Where an  $ISO(2, 1)$  gauge transformation by an infinitesimal parameter  $u = \rho^a P_a + \tau^a J_a$  is determined by

$$\begin{aligned} \delta A_\mu &= \delta e_\mu^a P_a + \delta \omega_\mu^a J_a \\ \delta A_\mu &= D_\mu u = \partial_\mu u + [A_\mu, u] \\ &= [\partial_\mu \rho_a - \epsilon_{abc} \tau^c e_\mu^b + \epsilon_{abc} \rho^c \omega_\mu^b] P^a + [\partial_\mu \tau_a + \epsilon_{abc} \tau^c \omega_\mu^b] J^a \end{aligned}$$

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## Gauge transformation

Under the gauge transformation

$$A^g := g^{-1}dg + g^{-1}Ag$$

the Chern-Simons action transforms as (hint: use  $d(g^{-1}g) = 0$ ):

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For closed  $M$ ...

- ...the boundary term vanishes.
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- The surface term does not vanish for either Dirichlet or Neumann conditions.

Making the variational principle work I

- CS-theory alone is not a well defined physical theory on closed manifolds.
- Cure: We choose a complex structure on  $\partial M$ , fix an appropriate mixed boundary condition  $A_z$  or  $A_{\bar{z}}$ , and add a suitable boundary term  $S_{\partial M}[A_z, A_{\bar{z}}]$  that compensates the boundary term above.

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Introducing a complex structure:

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$$- \int_{\partial M} \text{tr}[A \wedge \delta A] = - \int_{\partial M} 2d^2z \text{tr}[A_z \delta A_{\bar{z}} - A_{\bar{z}} \delta A_z].$$

The modified CS-theory then reads:

$$A_z, A_{\bar{z}} \text{ fixed} \Rightarrow \tilde{S}_{\text{CS}}[A] := S_{\text{CS}}[A] \pm 2 \int_{\partial M} d^2z \text{tr}[A_z A_{\bar{z}}].$$

By construction, we now have  $\delta \tilde{S}_{\text{CS}}[A] = 0$  on shell.

## Making the variational principle work II

Introducing a complex structure:

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## Gauge transformation of the modified CS-action

$$\tilde{S}_{\text{CS}}[A^g] = \tilde{S}_{\text{CS}} + S_{\text{WZW}}^+[g, A_z]$$

where  $S_{\text{WZW}}^+[g, A_z]$  is a chiral Wess-Zumino-(Novikov)-Witten action on  $\partial M$ :

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- The number of physical degrees of freedom of a CS-theory depends strongly on whether spacetime has a boundary.
- If  $M$  has a boundary, gauge invariance is broken at  $\partial M$  and the "would-be pure gauge degrees"  $g$  become dynamical on the boundary.
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## 5 First-Order Path Integrals à la Witten

Discussion for  $\Lambda = 0$ ,  
following E.Witten, "Topology Changing Amplitudes in (2+1)-Dimensional Gravity,"

### Einstein Hilbert and Moduli Spaces

$$\begin{aligned} S_{\text{EH}} &= \int_M \epsilon^{\rho\mu\nu} e_{\rho a} [\partial_\mu \omega_\nu^a - \partial_\nu \omega_\mu^a + [\omega_\mu, \omega_\nu]^a] \\ 0 &= \partial_\mu \omega_\nu^a - \partial_\nu \omega_\mu^a + \epsilon^{abc} \omega_{\mu b} \omega_{\nu c} \\ 0 &= \partial_\mu e_\nu^a - \partial_\nu e_\mu^a + \epsilon^{abc} (\omega_{\mu b} e_{\nu c} - \omega_{\nu b} e_{\mu c}) \end{aligned}$$

- $\omega$  is a  $\text{SO}(2,1)$  connection,  $\mathcal{N}$  the moduli space of flat  $\text{SO}(2,1)$  connections
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Let  $\omega$  be flat. Condition for a nearby connection  $\omega + \delta\omega$  ( $\delta\omega \in T_\omega \mathcal{N}$ ) to also be flat is

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### Naive Quantization

$$Z(M) = \int \mathcal{D}[e, \omega] \exp[iS_{\text{EH}}]$$

Using  $\int dx e^{ixy} = \delta[y]$

$$Z(M) = \int \mathcal{D}[\omega] \prod_{\mu, \nu, a} \delta[F_{\mu\nu}^a]$$

We use the splitting

$$\omega = \bar{\omega} + \Omega, \quad e = \bar{e} + E$$

where  $\bar{\omega}$  and  $\bar{e}$  are flat, and applying

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### Still: Naive Quantization

we find

$$Z(M) = \frac{1}{|\det(\overline{D}_\mu \Omega_\nu - \overline{D}_\nu \Omega_\mu)|}$$

with the covariant exterior derivative

$$\overline{D}\beta^a := d\beta^a + \epsilon^{abc}\overline{\omega}_b \wedge \beta_c$$

The above operator has initly many zero modes (for which  $\det^{-1}$  diverges), of the form  $\Omega_\mu = \overline{D}_\mu \epsilon!$

In the SM we also had problems naively defining the gauge boson propagators...

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### Quantization, a little less naive

Solution: Add Gauge fixing term! Does this not break Diffeo? We shall see...

$$\begin{aligned}\mathcal{L}_{\text{fix}} &:= -v_a \wedge \star D \star \Omega^a - u_a \wedge \star D \star E^a \\ Z_{\text{tot}}[\mathcal{M}] &:= Z_{\text{FP}} \int \mathcal{D}[\Omega, u, E, v] \exp \left[ i \int_M e \wedge F_\omega + \mathcal{L}_{\text{fix}} \right] \\ &= Z_{\text{FP}} \int \mathcal{D}[\Omega, u, E, v] \exp \left[ i \int_M E^a \wedge (\overline{D}\Omega_a + \frac{1}{2}\epsilon_{abc}\Omega^b \wedge \Omega^c + \star D \star u_a) \right. \\ &\quad \left. + \frac{1}{2}\epsilon_{abc}\overline{e}^a \wedge \Omega^b \wedge \Omega^c - v_a \wedge \star D \star \Omega^a \right]\end{aligned}$$

with the pair of three-form Lagrange multipliers  $u, v$ .

Integral is linear in  $v$  and  $E$ , solve these integrations first.

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 \mathcal{L}_{\text{fix}} &:= -v_a \wedge \star D \star \Omega^a - u_a \wedge \star D \star E^a \\
 Z_{\text{tot}}[\mathcal{M}] &:= Z_{\text{FP}} \int \mathcal{D}[\Omega, u, E, v] \exp \left[ i \int_M e \wedge F_\omega + \mathcal{L}_{\text{fix}} \right] \\
 &= Z_{\text{FP}} \int \mathcal{D}[\Omega, u, E, v] \exp \left[ i \int_M E^a \wedge (\bar{D}\Omega_a + \frac{1}{2} \epsilon_{abc} \Omega^b \wedge \Omega^c + \star D \star u_a) \right. \\
 &\quad \left. + \frac{1}{2} \epsilon_{abc} \bar{e}^a \wedge \Omega^b \wedge \Omega^c - v_a \wedge \star D \star \Omega^a \right]
 \end{aligned}$$

with the pair of three-form Lagrange multipliers  $u, v$ .

Integral is linear in  $v$  and  $E$ , solve these integrations first.

However there is one subtlety here...



### A toy model

$$S_{\text{toy}} := \int_M d^3x \alpha \Delta \beta$$

Expanding  $\alpha, \beta$  in the base of orthonormal modes of  $\Delta$ :

$$\Delta \phi_n = \lambda_n \phi_n, \quad \alpha = \sum_m a_m \phi_m, \quad \beta = \sum_n b_n \phi_n,$$

we get a sum  $\sum'$  over non-zero modes ( $\lambda_n \neq 0$ ):

$$S_{\text{toy}} = \sum_{n,m} \int_M d^3x \lambda_n a_m b_n \phi_n \phi_m = \sum_n \lambda_n a_n b_n = \sum_n' \lambda_n a_n b_n$$

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## 5 First-Order Path Integrals à la Witten

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- It is a topological invariant independent of the metric used in its deduction. Witten therefore argues that the quantization preserves diffeo invariance (anomaly freedom).
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- In  $(2+1)$ -dim the PPS=moduli space of gravity becomes finite dimensional, we have no local degrees of freedom.
- $(2+1)$ -dim gravity is Chern-Simons theory with the gauge group depending on  $\Lambda$
- For manifolds with boundary the action principle of CS-theory is not well defined.
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- The "would-be pure gauge degrees" become dynamical on the boundary of the modified theory. They are described by a chiral WZW term which adds an infinite-dim space of solutions.
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