

# What is wrong with the standard formulation of quantum theory?

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Seminar *General Boundary Formulation*  
21 February 2013

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# Overview

Historically, quantum theory was first developed in a non-relativistic context, modeled on an **analogy with non-relativistic classical mechanics**. This imprinted a **special role of time** on its very foundations as well as a **lack of manifest locality**.

This precludes the application of quantum theory in a general relativistic context.

To clarify these issues it is crucial to understand the radically different ways that reality is modeled in classical versus quantum physics.

# Reality in classical physics

Distinguishing features of classical physics are:

## local realism

A physical theory provides a direct description of objective reality as localized in space and time.

## determinism

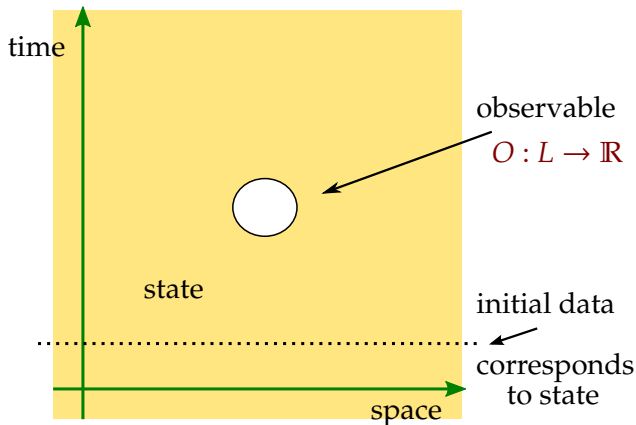
Given complete knowledge of physical reality at some instant of time allows the extrapolation in principle of this knowledge to all of the past and future. In a relativistic context determinism is sharpened to **causality**.

## independence

The observed reality is independent of the act of observation.

# Measurement in classical physics

The system is determined by a dynamical law and a state.



State space  $L$ .

**Measurements  
yield objective  
information  
about the state**

**States are global  
in spacetime**

# Non-relativistic mechanics

In **non-relativistic** classical mechanics it is convenient to use:

- A **Phase Space**  $P$  of **initial data**, providing a complete description of physics at an **instant of time**. It carries a symplectic structure  $\omega$ .
- A **Hamiltonian**  $H : P \rightarrow \mathbb{R}$  yielding a complete description of the time-evolution in phase space by determining a **flow**  $X_H$  on  $P$  via

$$dH(Y) = 2\omega(Y, X_H).$$

At a given moment in time a state may be identified with initial data, yielding an identification of the spaces  $P$  and  $L$ .

# Quantum theory: standard formulation

The **standard formulation** of quantum theory is modeled after non-relativistic classical mechanics:

- A **State Space**  $\mathcal{H}$  (Hilbert space) in analogy to the phase space, giving information about physics at an instant of time.
- A **Hamiltonian**  $H : \mathcal{H} \rightarrow \mathcal{H}$  (hermitian operator) in analogy to the classical Hamiltonian, describing the evolution in time of states.
- **Observables**  $O : \mathcal{H} \rightarrow \mathcal{H}$  (hermitian operators) in analogy to the classical observables, describing measurement processes.

# Reality in quantum physics

- A state encodes information about the system at a time.
- This information is **maximal** in the sense that there is no additional information that could improve predictions of future measurement outcomes.
- Even the complete knowledge of a state only allows **probabilistic** predictions of future measurement outcomes.
- In general, a measurement **modifies** a state.
- The observer must be *external* to the system and is subject to a *classical* description.
- Assuming that a state is an image of the reality of the system leads to the conclusion that this reality is **non-local**. (collapse of the wavefunction, Copenhagen interpretation)



# Time-evolution and probability

## Standard formulation

In the absence of a measurement a state  $\psi \in \mathcal{H}$  evolves from time  $t_1$  to time  $t_2$  via

$$\psi \mapsto U(t_1, t_2)\psi \quad \text{where} \quad U(t_1, t_2) := e^{-iH(t_2-t_1)}$$

is the unitary **time-evolution operator**.

Measurements are described by observables. Consider a yes/no question. This is represented by an orthogonal projection operator  $P : \mathcal{H} \rightarrow \mathcal{H}$ . Given a normalized initial state  $\psi$  the probability for the outcome

- **yes** is:  $\|P\psi\|^2$  with resulting state  $P\psi/\|P\psi\|$
- **no** is:  $\|(1 - P)\psi\|^2$  with resulting state  $(1 - P)\psi/\|(1 - P)\psi\|$

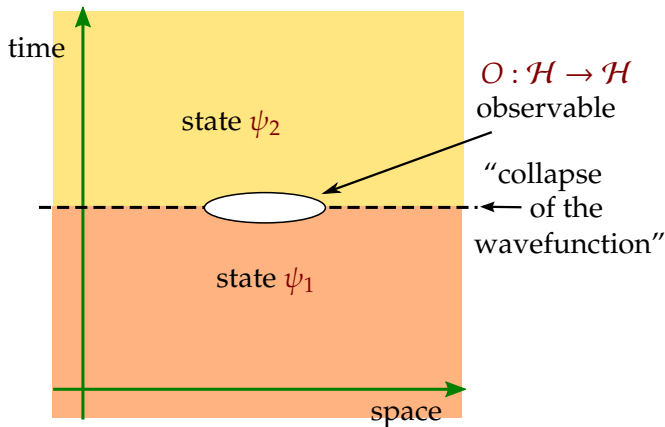
The measurement process is **probabilistic**, not **deterministic**.

Measurements **change** states **instantaneously**.

# Measurement in quantum physics I

## Standard formulation

The system is determined by a dynamical law and exhibits a sequence of states. The state space is a Hilbert space  $\mathcal{H}$ .



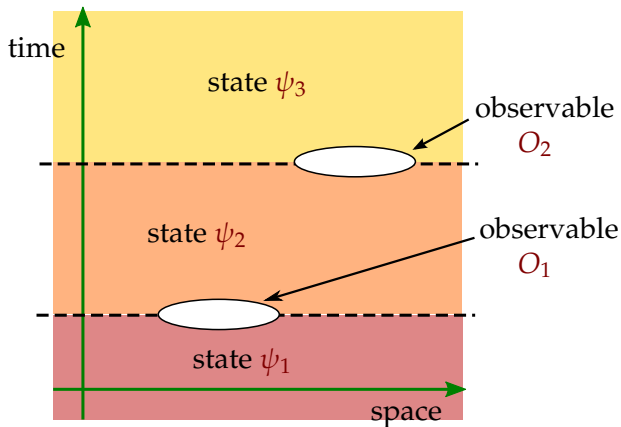
**Measurements  
modify the state  
and are  
probabilistic**

**States are global  
in space but  
local in time**

**Time plays a  
special role!**

# Measurement in quantum physics II

## Standard formulation



The operator product  $O_2 \cdot O_1$  encodes joint measurement. Its order is the temporal order of measurements.

E.g.  $[Q, P] = i\hbar$

Time plays a special role!

# The special role of time

## Conclusion:

In contrast to classical physics, the standard formulation of quantum theory requires a **predetermined notion of time** to make sense.

A non-relativistic setting provides such a notion of time.

# Compatibility with special relativity

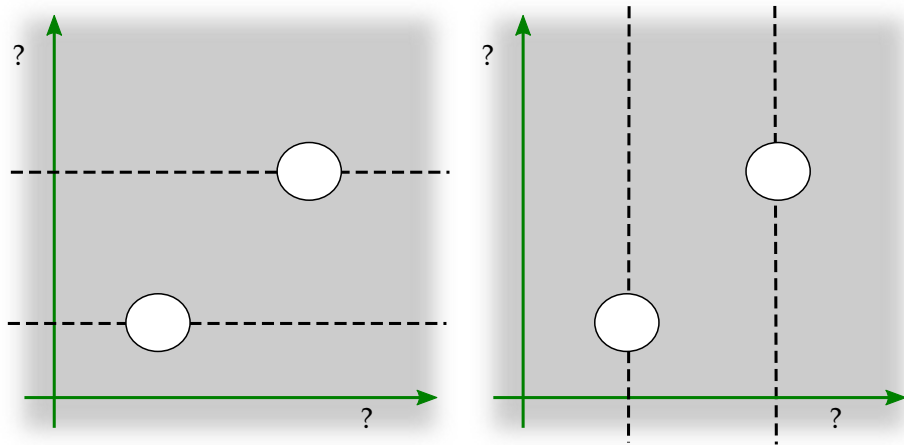
However, we know that physics is **relativistic**.

In **special relativity** there is no single predetermined notion of time, but one for each **inertial frame**. We can achieve compatibility by ensuring that different choices of inertial frames lead to equivalent results. To this end observables are **labeled** by spacetime points. If two spacetime points  $x, y$  are **spacelike** separated their temporal ordering in different frames can be different. To avoid inconsistencies we must then require that observables  $A(x)$  and  $B(y)$  **commute**, i.e.,

$$A(x)B(y) = B(y)A(x).$$

# Quantum measurement without spacetime metric?

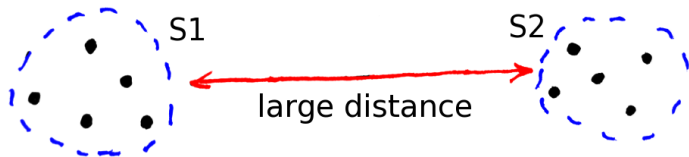
If spacetime is dynamical, as in a **general relativistic** setting, there is no a priori metric “separating” space and time. What do we do then?



Here, the standard formulation of quantum theory breaks down.

## Locality in the standard formulation

In a fundamental quantum theory a state is a priori a **state of the universe**. But, we cannot hope to be able to describe the universe in all its details. We need to be able to describe physics locally. In **quantum field theory** this is achieved dynamically, using the background metric. Causality and cluster decomposition mean that the  $S$ -matrix factorizes,  $S = S_1 S_2$ :



We can thus successfully describe a local system as if it was alone in an otherwise empty Minkowski universe.

In a general relativistic setting we have no a priori metric and this dynamical implementation of locality fails.

# Reactions in the quantum gravity community

- 1 We keep a classical background in parts of spacetime, where the observers are located (usually at “infinity”). We can only describe quantum gravitational phenomena “far away” and approximately. [Perturbative Quantum Gravity, String Theory, AdS/CFT]
- 2 We keep the formalism, but throw away the background metric and with it the physical interpretation. We then have to construct a new physical interpretation of the formalism. If we are unlucky there may be none. [Quantum Geometrodynamics, LQG]
- 3 Quantum theory as we know it is really fundamentally limited and must be replaced by something new. Known physics is modified. [Causal sets, Gravity induced collapse models]



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OR

- 4 There is a more suitable formulation of quantum theory, free of these limitations. This is what we should use instead.

# The general boundary formulation

The **general boundary formulation** is a novel formulation of quantum theory

- where **time plays no special role**
- that is metric **background independent**
- that is manifestly **spacetime local**
- that embraces known quantum physics

It is still under construction, so **you can contribute!**

This is what this seminar is about.