

# Algebraic Quantum Field Theory and Category Theory II

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## Summary of Algebraic Quantum Field Theory

### AQFT in terms of Category Theory

- Motivation

- Definitions

- Categories and locally covariant QFT

- Recovering AQFT

### Conclusion

# Outline

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## The general assumptions

- (a) Separable Hilbert space  $\mathcal{H}$  of state vectors.
- (b) Unitary representation  $U(a, \Lambda)$  of the Poincaré group  $\mathcal{P}_+^\uparrow$  on  $\mathcal{H}$
- (c) Invariant, normalized state vector  $\Omega \in \mathcal{H}$  (vacuum)
- (d) A family of  $*$ -algebras  $\mathcal{A}(\mathcal{O})$  of operators on  $\mathcal{H}$  (a “field net”), indexed by regions  $\mathcal{O} \subset \mathbb{R}^4$
- (e) Isotony:  $\mathcal{A}(\mathcal{O}_1) \subset \mathcal{A}(\mathcal{O}_2)$  if  $\mathcal{O}_1 \subset \mathcal{O}_2$

Assumption: Operators are bounded and algebras are closed in the weak operator topology, i.e.  $\Rightarrow$  von Neumann algebras.

## Axioms (Haag-Kastler Axioms)

- (i) **Local (anti-)commutativity**:  $\mathcal{A}(\mathcal{O}_1)$  (anti-)commutes with  $\mathcal{A}(\mathcal{O}_2)$  if  $\mathcal{O}_1$  and  $\mathcal{O}_2$  space-like separated.
- (ii) **Covariance**:  $U(a, \Lambda)\mathcal{A}(\mathcal{O})U(a, \Lambda)^{-1} = \mathcal{A}(\Lambda\mathcal{O} + a)$ .
- (iii) **Spectrum condition**: The energy momentum spectrum, i.e. of the generators of the translations  $U(a)$  lies in  $V^+$ .
- (iv) **Cyclicity of the vacuum**:  $\cup_{\mathcal{O}} \mathcal{A}(\mathcal{O})\Omega$  is dense in  $\mathcal{H}$ .

### Example: Free Field

$$(\square + m^2)\phi = 0,$$

Algebra of observables generated by

$$\mathcal{A}(\mathcal{O}) := \{e^{i\phi(f)}, \text{supp } f \subset \mathcal{O}\}''$$

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## Motivation

QF on Minkowski Spacetime covariant under Poincaré transformations and  $\exists$  vacuum states  $\Rightarrow$  QF on curved spacetime do not possess in general concept of covariance  $\Rightarrow$  ambiguities in determination of states and physical quantities (energy-momentum tensor)

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Wald[94] defined renormalized energy-momentum tensor

$$T_{\mu\nu}^{ren}(x) = \lim_{y \rightarrow x} (T_{\mu\nu}(x, y) - t_{\mu\nu}(x, y))$$

where  $t_{\mu\nu}$  is the EV w.r.t a quasi-free Hadamard state  $\omega$  as "reference state"

$$t_{\mu\nu}(x, y) = \omega(T_{\mu\nu}(x, y))$$

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$$t_{\mu\nu}(x, y) = \omega(T_{\mu\nu}(x, y))$$

$\Rightarrow T_{\mu\nu}^{ren}(x)$  exists as a well defined op.v.d. in all representations induced by arbitrary Hadamard states.

# Motivation

Problem:

## Motivation

Problem: For renormalized energy-momentum tensor there is a non-uniqueness of reference states!

Wald solved it by imposing as a principle of locality and covariance that energy-momentum tensor only locally depends on the spacetime metric.

Sketch: Assume one has a prescription for  $T_{\mu\nu}^{ren}(x)$  on any curved (globally hyperbolic) spacetime  $\Rightarrow$  Let  $\kappa$  be an isometric diffeomorphism ( $\kappa_*g = g'$ ) and  $\alpha'_\kappa : \mathcal{A}_{M'}(\mathcal{O}') \rightarrow \mathcal{A}_M(\mathcal{O})$  is a canonical isomorphism between the local CCR algebras then EMT is covariant and local if:

$$\alpha'_\kappa(T'_{\mu\nu}{}^{ren}(x')) = \kappa_* T_{\mu\nu}{}^{ren}(x),$$

where  $x' \in \mathcal{O}' \subset M', x \in \mathcal{O} \subset M$ .

# Motivation

From the prescription two things should be noted:

- (i) The neighborhood  $\mathcal{O}$  was arbitrary
  - (ii) Condition uses the fact that QFT can be defined on any globally hyperbolic spacetime and using an algebraic isomorphism  $\alpha'_\kappa$  one can identify QFT's on isometrically diffeomorphic subregions of globally hyperbolic spacetimes
- $\Rightarrow$  Main Purpose of AQFT in terms of Category Theory : Formalization of these properties

## AQFT in terms of Category Theory

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## AQFT in terms of Category Theory

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What the heck???

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# Definitions I

## Morphism

A structure-preserving map from one mathematical structure to another.

## Homomorphism

A structure-preserving map between two algebraic structures of the same type.

## Monomorphism

An injective homomorphism or a left-cancellative morphism, that is, an arrow  $f : X \rightarrow Y$  such that, for all morphisms  $g_1, g_2 : Z \rightarrow X$ ,

$$f \circ g_1 = f \circ g_2 \Rightarrow g_1 = g_2.$$

## Definitions II

### Category $C$ , ( $\text{ob}(C)$ , arrows)

- (i) A class of objects denoted by  $\text{ob}(C)$
- (ii) A class  $\text{hom}(C)$  of morphisms, s.t.  $\forall f$  has a source  $a$  and a target object  $b$  where  $a, b \in \text{ob}(C)$ , i.e.  $f : a \rightarrow b$
- (iii) For  $a, b, c \in \text{ob}(C)$ ,  $\exists$  a binary operation  $\text{hom}(a, b) \times \text{hom}(b, c) \rightarrow \text{hom}(a, c)$  (composition); s.t.
  - (i) (associativity) if  $f : a \rightarrow b, g : b \rightarrow c$  and  $h : c \rightarrow d$  then  $h \circ (g \circ f) = (h \circ g) \circ f$ ,
  - (ii) (identity) for every object  $x$ ,  $\exists$  morphism  $1_x : x \rightarrow x$  called identity morphism for  $x$

## Definitions III

### Functor

Let  $C$  and  $D$  be categories. A **functor**  $F$  from  $C$  to  $D$  is a mapping that associates to each object  $X$  in  $C$  an object  $F(X)$  in  $D$  and associates to each morphism  $f : X \rightarrow Y$  in  $C$  a morphism  $F(f) : F(X) \rightarrow F(Y)$  in  $D$  s.t:

- (i)  $F(\text{id}_X) = \text{id}_{F(X)}$  for every object  $X$  in  $C$ ,
- (ii)  $F(g \circ f) = F(g) \circ F(f)$  for all morphisms  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  in  $C$ .

Functors must preserve identity morphisms and composition of morphisms.

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## Globally Hyperbolic Spacetimes???

## Definitions IV

### Globally hyperbolic spacetime $(M, g)$

$M$  a smooth, four-dimensional, orientable and time-orientable MF!

**Time-orientability:**  $\exists C^\infty$ -VF  $u$  on  $M$  s.t.  $g(u, u) > 0$ .

A smooth curve  $\gamma : I \rightarrow M$ ,  $I$  being a connected subset of  $\mathbb{R}$ , is **causal** if  $g(\dot{\gamma}, \dot{\gamma}) \geq 0$ . A CC is future directed if  $g(\dot{\gamma}, u) > 0$  and past directed if  $g(\dot{\gamma}, u) < 0$ .

For any point  $x \in M$ ,  $J^\pm(x)$  denotes the set of all points in  $M$  which can be connected to  $x$  by a future(+)/past(-)-directed causal curve.

$M$  is **globally hyperbolic** if for  $x, y \in M$  the set  $J^-(x) \cap J^+(y)$  is compact if non-empty.

Intuitively: The spacetime has a Cauchy surface!

Advantage of GHST: Cauchy-problem for linear hyperbolic wave-equation is well-posed.

## Isometric Embedding

Let  $(M_1, g_1)$  and  $(M_2, g_2)$  be two globally hyperbolic spacetimes. A map  $\psi : M_1 \rightarrow M_2$  is called an **isometric** embedding if  $\psi$  is a diffeomorphism onto its range  $\psi(M)$ , i.e.  $\bar{\psi} : M_1 \rightarrow \psi(M_1) \subset M_2$  is a diffeomorphism and if  $\psi$  is an isometry, that is,  $\psi_* g_1 = g_2 \upharpoonright \psi(M_1)$ .

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## Categories

**Man:** Class of all objects  $\text{Obj}(\text{Man})$  formed by globally hyperbolic spacetimes  $(M, g)$ . Given two such objects  $(M_1, g_1)$  and  $(M_2, g_2)$ , the morphisms  $\psi \in \text{hom}_{\text{Man}}((M_1, g_1), (M_2, g_2))$  are taken to be the isometric embeddings  $\psi : (M_1, g_1) \rightarrow (M_2, g_2)$  of  $(M_1, g_1)$  into  $(M_2, g_2)$  as defined above, but with constraint :

The isometric embedding preserves orientation and time-orientation of the embedded spacetime.

**Alg:** Category class of objects  $\text{Obj}(\text{Alg})$  formed by all  $C^*$ -algebras possessing unit elements, and the morphisms are faithful (injective) unit-preserving  $*$ -homomorphisms. For  $\alpha \in \text{hom}_{\text{Alg}}(\mathcal{A}_1, \mathcal{A}_2)$  and  $\alpha' \in \text{hom}_{\text{Alg}}(\mathcal{A}_2, \mathcal{A}_3)$  the composition  $\alpha \circ \alpha' \in \text{hom}_{\text{Alg}}(\mathcal{A}_1, \mathcal{A}_3)$ .

# Locally covariant quantum field theory

(i) LCQFT is a covariant functor  $\mathcal{A}$  between the two categories  $Man$  and  $Alg$ , i.e., writing  $\alpha_\psi$  for  $\mathcal{A}(\psi)$ :

$$\begin{array}{ccc} (M, g) & \xrightarrow{\psi} & (M', g') \\ \mathcal{A} \downarrow & & \downarrow \mathcal{A} \\ \mathcal{A}(M, g) & \xrightarrow{\alpha_\psi} & \mathcal{A}(M', g') \end{array}$$

together with the covariance properties

$$\alpha_{\psi'} \circ \alpha_\psi = \alpha_{\psi' \circ \psi}, \quad \alpha_{\text{id}_M} = \text{id}_{\mathcal{A}(M, g)},$$

for all morphisms  $\psi \in \text{hom}_{Man}((M_1, g_1), (M_2, g_2))$ , all morphisms  $\psi' \in \text{hom}_{Man}((M_2, g_2), (M_3, g_3))$  and all  $(M, g) \in \text{Obj}(Man)$ .

(ii) A LCQFT described by a covariant functor  $\mathcal{A}$  is called causal if: There are morphisms  $\psi_j \in \text{hom}_{\text{Man}}((M_j, g_j), (M, g)), j = 1, 2$ , so that  $\psi_1(M_1)$  and  $\psi_2(M_2)$  are causally separated in  $(M, g)$ , then

$$[\alpha_{\psi_1}(\mathcal{A}(M_1, g_1)), \alpha_{\psi_2}(\mathcal{A}(M_2, g_2))] = 0,$$

(iii) We say that a locally covariant quantum field theory given by the functor  $\mathcal{A}$  obeys the **time-slice axiom** if

$$\alpha_{\psi}(\mathcal{A}(M, g)) = \mathcal{A}(M', g')$$

holds for all  $\psi \in \text{hom}_{\text{Man}}((M, g), (M', g'))$  such that  $\psi(M)$  contains a Cauchy surface for  $(M', g')$ .

## Example KG-field

Global hyperbolicity entails the well-posedness of the Cauchy-problem for the scalar Klein-Gordon equation on  $(M, g)$ ,

$$(\nabla^a \nabla_a + m^2 + \xi R)\varphi = 0$$

Let  $E = E_{adv} - E_{ret}$  be the causal propagator of the Klein-Gordon equation and the range of  $E(C_0^\infty(M, \mathbb{R}))$  is denoted by  $\mathcal{R}$ . By defining

$$\sigma(f, Eh) = \int_M f(Eh) d\mu_g, \quad f, h \in C_0^\infty(M, \mathbb{R})$$

it endows  $\mathcal{R}$  with a symplectic form, and thus  $(\mathcal{R}, \sigma)$  is a symplectic space.  $\Rightarrow$  Weyl-algebra  $\mathcal{A}(M, g) = \mathcal{W}(\mathcal{R}, \sigma)$ , generated by  $W(\phi)$ ,  $\phi \in \mathcal{R}$  satisfying

$$W(\phi)W(\psi) = e^{-i\sigma(\phi, \psi)} W(\phi + \psi).$$

## Example KG-field

$(E, \mathcal{R}, \sigma)$  denotes the propagator, the range space and the symplectic form corresponding to a KG-field on  $(M, g)$ ,  $(E', \mathcal{R}', \sigma')$  denotes the same for  $(M', g')$  and  $(E^\psi, \mathcal{R}^\psi, \sigma^\psi)$  for the spacetime  $(\psi(M), \psi_*g)$ .

$\exists C^*$ -alg. iso.,  $\tilde{\alpha}_\psi : \mathcal{W}(\mathcal{R}, \sigma) \rightarrow \mathcal{W}(\mathcal{R}^\psi, \sigma^\psi)$  so that

$$\tilde{\alpha}_\psi(W(\phi)) = W^\psi(\psi_*(\phi)), \quad \phi \in \mathcal{R}$$

$\exists$  a symplectic map  $T^\psi : (\mathcal{R}^\psi, \sigma^\psi) \rightarrow (\mathcal{R}', \sigma')$  assigns to each element  $Ef \rightarrow E' \iota_\psi \psi_* f \Rightarrow$  a  $C^*$ -alg. endom.  $\tilde{\alpha}_{\iota_\psi} : \mathcal{W}(\mathcal{R}^\psi, \sigma^\psi) \rightarrow \mathcal{W}(\mathcal{R}', \sigma')$ :

$$\tilde{\alpha}_{\iota_\psi}(W^\psi(\phi)) = W'(T^\psi \phi), \quad \phi \in \mathcal{R}^\psi$$

## Theorem

By defining for each  $(M, g) \in \text{Obj}(\text{Man})$  the  $C^*$ -algebra  $\mathcal{A}(M, g) = \mathcal{W}(\mathcal{R}, \sigma)$  of the KG equation and for each  $\psi \in \text{hom}(M, M')$  the  $C^*$ -algebraic endomorphism  $\alpha_\psi = \tilde{\alpha}_{\iota_\psi} \circ \tilde{\alpha}_\psi : \mathcal{A}(M, g) \rightarrow \mathcal{A}(M', g')$ , then one obtains a covariant functor  $\mathcal{A}$  with the properties of the definitions above. Moreover, this functor is causal and fulfills the time-slice axiom.

In this sense, the free Klein-Gordon FT is a locally covariant QFT!

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## Recovering AQFT I

For each element  $L \in \mathcal{P}_+^\uparrow \ni C^*$ -algebra  $\mathcal{A}$  automorphism  $\alpha_L : \mathcal{A} \rightarrow \mathcal{A}$ :

$$\alpha_{L_1} \circ \alpha_{L_2} = \alpha_{L_1 \circ L_2}, \quad L_1, L_2 \in \mathcal{P}_+^\uparrow$$

$\mathcal{K}(M, g)$  denotes the set of all subsets in  $M$  which are relatively compact and contain with each pair of points  $x$  and  $y$  also all  $g$ -causal curves in  $M$  connecting  $x$  and  $y$ . Given  $\mathcal{O} \in \mathcal{K}(M, g)$  we denote  $g_{\mathcal{O}}$  the Lorentzian metric restricted to  $\mathcal{O}$  so that the injection map  $\iota_{M, \mathcal{O}} : (\mathcal{O}, g_{\mathcal{O}}) \rightarrow (M, g)$  is the identical map restricted to  $\mathcal{O}$ .

## Recovering AQFT II

### Proposition

Let  $\mathcal{A}$  be a covariant functor with the properties stated in the Definition of a locally covariant QFT and define a map  $\mathcal{O} \mapsto \mathcal{A}(\mathcal{O}) \subset \mathcal{A}(M, g)$  by setting

$$\mathcal{A}(\mathcal{O}) := \alpha_{\iota_M, \mathcal{O}}(\mathcal{A}(\mathcal{O}, g_{\mathcal{O}}))$$

(a) The map fulfills isotony,

$$\mathcal{O}_1 \subset \mathcal{O}_2 \Rightarrow \mathcal{A}(\mathcal{O}_1) \subset \mathcal{A}(\mathcal{O}_2), \quad \forall \mathcal{O}_1, \mathcal{O}_2 \in \mathcal{K}(M, g)$$

(b) If  $\exists$  a group  $G$  of isometric diffeomorphisms  $\kappa : M \rightarrow M$  preserving orientation and time-orientation, then there is a representation of  $G$  by a  $C^*$ -algebra automorphism  $\tilde{\alpha}_{\kappa} : \mathcal{A} \rightarrow \mathcal{A}$  such that

$$\tilde{\alpha}_{\kappa}(\mathcal{A}(\mathcal{O})) = \mathcal{A}(\kappa(\mathcal{O})), \quad \mathcal{O} \in \mathcal{K}(M, g)$$

## Recovering AQFT II

(c) If the theory given by  $\mathcal{A}$  is additionally causal, then it holds

$$[\mathcal{A}(\mathcal{O}_1), \mathcal{A}(\mathcal{O}_2)] = \{0\}$$

for all  $\mathcal{O}_1, \mathcal{O}_2 \in \mathcal{K}(M, g)$  with  $\mathcal{O}_1$  causally separated from  $\mathcal{O}_2$ .

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## Conclusion and Outlook

- (i) A locally covariant quantum field theory is an assignment of  $C^*$ -algebras to (all) globally hyperbolic spacetimes so that the algebras are identifiable when the spacetimes are isometric, in the indicated way.
  
- (ii) Holds for the Klein-Gordon field on a curved spacetime
  
- (iii) Recovered AQFT in this framework
  
- (iv) Framework possibly allows to define an isomorphism between AQFT and TQFT\*

\*Joint work with Robert Oeckl

## Conclusion and Outlook

Thank you for your attention!