#### Guillermo A. Mena Marugán. IEM, CSIC (Spain)

# UNITARITY AND UNIQUENESS OF THE FOCK QUANTIZATION OF THE GOWDY MODEL

With Alejandro Corichi, Jerónimo Cortez, and José Velhinho.



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#### **Motivation**

- The extension of [L]QC to inhomogeneous spacetimes would validate present results and provide new predictions (CMB). Inhomogeneous quantum cosmological models would serve for comparison of techniques and interpretations.
- \* The linearly polarized Gowdy T<sup>3</sup> model is the simplest of all inhomogeneous cosmological systems.
- \* It can be formulated as 2+1 gravity coupled to a free massless scalar field with axial symmetry, an interesting system from the point of view of QFT in curved backgrounds.
- \* The model is non-stationary and infinite dimensional. Unitarity and uniqueness of the quantization are relevant issues.



## The Gowdy T<sup>3</sup> model

- It describes inhomogeneous vacuum spacetimes with the spatial topology of T<sup>3</sup> and two commuting, axial, and hypersurface orthogonal Killing fields. Classical solutions have a cosmological singularity.
- After gauge fixing:

$$ds^{2} = e^{\gamma(Q, p, \phi, P_{\phi})} e^{-\phi/\sqrt{p}} \left(-dt^{2} + d\theta^{2}\right) + e^{-\phi/\sqrt{p}} t^{2} p^{2} d\sigma^{2} + e^{\phi/\sqrt{p}} d\delta^{2}.$$

 $\partial_a = \{\partial_\sigma, \partial_\delta\}$  are axial Killing vector fields.  $\delta \in S^1, t > 0.$   $p = -\oint P_{\gamma}/(2\pi) > 0$  and (Q, P = ln p) are canonically conjugate. Deparametrization has been achieved by setting  $det \left[ (\partial_a)^i h_{ij} (\partial_b)^j \right] = t^2 p^2.$ 

- There is some arbitrariness in the choice of: a) time and b) the field  $\phi$ .
- There is a homogeneous constraint, which generates S<sup>1</sup>-translations:

$$C_0 = \frac{1}{\sqrt{2\pi}} \oint P_{\phi} \phi'.$$

# The Gowdy T<sup>3</sup> model (*old* description)

- The reduced dynamics is dictated by  $H_r = \frac{1}{2t} \oint \left[ P_{\phi}^2 + t^2 (\phi')^2 \right].$
- The field is subject to the wave equation  $\ddot{\phi} + \frac{\dot{\phi}}{t} \phi'' = 0$ . Smooth solutions have the form

$$\varphi(t,\theta) = \sum_{n=-\infty}^{\infty} \left[ A_n f_n(t,\theta) + A_n^* f_n^*(t,\theta) \right]; \quad f_n(t,\theta) = \overline{f}_n(t) e^{in\theta},$$
$$\overline{f}_0(t) = \frac{1-i\ln t}{\sqrt{4\pi}}, \quad \overline{f}_n(t) = \frac{H_0(|n|t)}{\sqrt{8}} \quad n \neq 0.$$

We adopt a complex structure such that  $\{A_n, A_n^*\}_{n \in \mathbb{Z}}$  are promoted to annihilation and creation operators.

• However this dynamics admits no unitary implementation, neither on the resulting Fock space, nor on the physical Hilbert space with  $C_0=0$ .

• For large *n*, our solutions behave as  $f_n(t, \theta) = \frac{H_0(|n|t)e^{in\theta}}{\sqrt{8}} \approx \frac{e^{-i(|n|t-n\theta)}e^{i\pi/4}}{\sqrt{4\pi|n|t}}$ .

By means of a **TIME DEPENDENT** canonical transformation, we introduce the new field parametrization:

$$\xi = \sqrt{t} \phi, \quad P_{\xi} = \frac{1}{\sqrt{t}} \left( P_{\phi} + \frac{\phi}{2} \right).$$

• The reduced Hamiltonian becomes

es 
$$H_r^{(\xi)} = \frac{1}{2} \oint \left[ P_{\xi}^2 + (\xi')^2 + \frac{\xi^2}{4t^2} \right]$$

It is the Hamiltonian of a scalar field in a flat (2-dimensional) background subject to a time dependent potential that **tends to zero at large times**.



• The constraint that generates S<sup>1</sup>-translations is  $C_0 = \frac{1}{\sqrt{2\pi}} \oint P_{\xi} \xi'$ .

## New field parametrization (description)

• We fix a reference time  $t=t_0$  and expand the canonical fields  $(\xi, P_{\xi})$  at  $t_0$  (*Cauchy data*) in Fourier series. Next, we introduce "annihilation" and "creation" variables for  $n \neq 0$  [*like in the "massless case"*]:

$$b_n = \frac{|n|\xi_{(n)} + iP_{\xi}^{(n)}}{\sqrt{2|n|}}, \quad b_{-n}^* = \frac{|n|\xi_{(n)} - iP_{\xi}^{(n)}}{\sqrt{2|n|}}.$$

We use coordinates  $\{\boldsymbol{B}_{\boldsymbol{m}}\}$ ;  $\boldsymbol{B}_{\boldsymbol{m}} = (b_m, b_{-m}^*, b_{-m}, b_m^*)^T \quad \forall \boldsymbol{m} \in \mathbb{N}^+$ .

- The S<sup>1</sup>-translations  $T_{\alpha}: \theta \to \theta + \alpha$  ( $\forall \alpha \in S^1$ ) have a diagonal action:  $T_{\alpha}(b_{\pm m}) = e^{\pm i m \alpha} b_{\pm m}, \quad T_{\alpha}(b_{\pm m}^*) = e^{-(\pm i) m \alpha} b_{\pm m}^*.$
- The evolution is block diagonal  $\boldsymbol{B}_m(t_1) = U_m(t_1, t_0) \boldsymbol{B}_m(t_0)$ , with

$$U_{m}(t_{1},t_{0}) = \begin{pmatrix} u_{m}(t_{1},t_{0}) & \mathbf{0} \\ \mathbf{0} & u_{m}(t_{1},t_{0}) \end{pmatrix}, \quad u_{m}(t_{1},t_{0}) = \begin{pmatrix} \alpha_{m}(t_{1},t_{0}) & \beta_{m}(t_{1},t_{0}) \\ \beta_{m}^{*}(t_{1},t_{0}) & \alpha_{m}^{*}(t_{1},t_{0}) \end{pmatrix}.$$



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## New field parametrization (quantization)

- $U_m(t_1, t_0)$  is a Bogoliubov transformation. Defining  $x_m^{(i)} = m t_i$ ,  $\alpha_m(t_1, t_0) = c(x_m^{(1)})c^*(x_m^{(0)}) - d(x_m^{(1)})d^*(x_m^{(0)}), \quad \beta_m(t_1, t_0) = d(x_m^{(1)})c(x_m^{(0)}) - c(x_m^{(1)})d(x_m^{(0)}),$  $c(x_m^{(i)}) = \sqrt{\frac{\pi x_m^{(i)}}{8}} \left[ \left( 1 + \frac{i}{2x_m^{(i)}} \right) H_0(x_m^{(i)}) - iH_1(x_m^{(i)}) \right], \quad d(x_m^{(i)}) = \sqrt{\frac{\pi x_m^{(i)}}{2}} H_0^*(x_m^{(i)}) - c^*(x_m^{(i)}).$
- We adopt the complex structure  $J_0(B_m) = (J_0)_m B_m$ ,  $(J_0)_m = diag(i, -i, i, -i)$ . We construct the one-particle Hilbert space determined by  $J_0$ , and with it the symmetric Fock space. The vacuum  $|0\rangle$  satisfies  $\hat{b}_{\pm m}|0\rangle = 0$ .
- $J_0$  is invariant under the S<sup>1</sup>-translations  $T_{\alpha}$ . One gets an invariant unitary implementation of the gauge group  $(\hat{T}_{\alpha}|0\rangle = |0\rangle)$ .
- Furthermore, one can check that  $\sum_{m} |\beta_{m}(t_{1}, t_{0})|^{2} < \infty \quad \forall t_{1}, t_{0} \neq 0.$

Therefore, the evolution is unitarily implemented.

## Uniqueness of the quantization (for $\xi$ )

 Are there other Fock representations with a unitary dynamics for (ξ, P<sub>ξ</sub>)? We consider compatible complex structures that are **invariant** under the group of S<sup>1</sup>-translations.

All such complex structure can be obtained as  $J = K_J J_0 K_J^{-1}$  where  $K_J$  is a symplectic transformation with the block diagonal form in the  $\{B_m\}$  basis

$$(K_J)_m = \begin{pmatrix} (k_J)_m & \mathbf{0} \\ \mathbf{0} & (k_J)_m \end{pmatrix}, \quad (k_J)_m = \begin{pmatrix} \kappa_m & \lambda_m \\ \lambda_m^* & \kappa_m^* \end{pmatrix}, \quad \kappa_m > 0, \quad |\kappa_m|^2 - |\lambda_m|^2 = 1.$$

≻ The evolution  $U(t_1, t_0)$  admits a unitary implementation  $\forall t_1$  w.r.t. J iff so does  $U^J(t_1, \tilde{t_0}) := K_J^{-1} U(t_1, \tilde{t_0}) K_J \forall t_1, \tilde{t_0}$  w.r.t.  $J_0$ . We get the blocks

$$U_{m}^{J}(t_{1},\tilde{t}_{0}) = \begin{pmatrix} u_{m}^{J}(t_{1},\tilde{t}_{0}) & \mathbf{0} \\ \mathbf{0} & u_{m}^{J}(t_{1},\tilde{t}_{0}) \end{pmatrix}, \quad u_{m}^{J}(t_{1},\tilde{t}_{0}) = \begin{pmatrix} \alpha_{m}^{J}(t_{1},\tilde{t}_{0}) & \beta_{m}^{J}(t_{1},\tilde{t}_{0}) \\ \beta_{m}^{J*}(t_{1},\tilde{t}_{0}) & \alpha_{m}^{J*}(t_{1},\tilde{t}_{0}) \end{pmatrix},$$
$$\beta_{m}^{J}(t_{1},\tilde{t}_{0}) = 2i\Im[\alpha_{m}(t_{1},\tilde{t}_{0})] \kappa_{m}^{*}\lambda_{m} + (\kappa_{m}^{*})^{2}\beta_{m}(t_{1},\tilde{t}_{0}) - \lambda_{m}^{2}\beta_{m}^{*}(t_{1},\tilde{t}_{0}).$$



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#### Uniqueness of the quantization (for $\xi$ )

- From the expression of  $\beta_m^J(t_1, t_0)$  one gets that,  $\forall a \ge 0$ ,  $N \in \mathbb{N}^+$ ,  $\sum_{m=1}^N \frac{2a|\lambda_m|^2}{1+a} \left\{ 1 - (\Re[\alpha_m(t_1, \tilde{t}_0)])^2 - a|\beta_m(t_1, \tilde{t}_0)|^2 \right\} \le \sum_{m=1}^N \left( 2|\beta_m(t_1, \tilde{t}_0)|^2 + |\beta_m^J(t_1, \tilde{t}_0)|^2 \right).$ The coefficients of  $|\lambda_m|^2$  are oscillating functions of time.
- We use that (by assumption) the evolution is unitary w.r.t. both J<sub>0</sub> and J.
  We use that, for sufficiently large t̃<sub>0</sub>, |α(t̃<sub>0</sub>+τ, t̃<sub>0</sub>)-e<sup>imτ</sup>|<ε ∀τ, ε>0.
  We average over τ on a suitable subset of [0, π] (using Egorov's theorem).
- Then, one can show that the sequence  $\left\{\sum_{m=1}^{N} |\lambda_m|^2, N \in \mathbb{N}^+\right\}$  is bounded. Hence, the symplectic transformation  $K_J$  is implemented unitarily.

All compatible complex structures that are **invariant** under S<sup>1</sup>-translations and allow a **unitary** implementation of the **dynamics** of  $(\xi, P_{\xi})$  determine **unitarily equivalent Fock representations.** 



#### **Uniqueness (field parametrization)**

- May another field parametrization lead to a non-equivalent Fock quantization with unitary dynamics and a natural unitary implementation of S<sup>1</sup>-translations?
- We consider field parametrizations of the induced gauge-fixed metric which

   a) are local,
   b) are independent of the spatial coordinates,
   c) decouple the field,
   d) lead to a homogeneous Klein-Gordon equation.

Alternate field pairs are given by the time-dependent canonical transformations

$$\tilde{\xi} = f(t)\xi, \quad \tilde{P}_{\xi} = \frac{P_{\xi}}{f(t)} + g(t)\xi.$$

Absorbing a constant linear transformation, one can set  $f(t_0)=1$ ,  $g(t_0)=0$ , so that the canonical pairs coincide at the reference time.

• The dynamics of  $(\tilde{\xi}, \tilde{P}_{\xi})$  is unitary w.r.t. an **invariant** complex structure  $J = K_J J_0 K_J^{-1}$  iff  $\tilde{U}^J(t_1, t_0) := K_J^{-1} C(t_1) U(t_1, t_0) K_J$  is unitary w.r.t.  $J_0$ .



 $C(t_1)$  comes from the change of canonical pair at  $t_1$ .

#### **Uniqueness (field parametrization)**

•  $C(t_1)$  has the 2x2 block-diagonal form:

$$C_{m}(t_{1}) = \frac{1}{2m} \begin{pmatrix} m f_{+}(t_{1}) + i g(t_{1}) & m f_{-}(t_{1}) + i g(t_{1}) \\ m f_{-}(t_{1}) - i g(t_{1}) & m f_{+}(t_{1}) - i g(t_{1}) \end{pmatrix}, \quad f_{\pm}(t_{1}) = f(t_{1}) \pm \frac{1}{f(t_{1})}.$$

- One can prove that the  $\beta$ -coefficients of  $\tilde{U}^J(t_1, t_0)$  do not go to zero  $\forall t_1 > 0$ when  $m \to \infty$  unless f(t) is the **unit function**. This eliminates the *old* parametrization. Unitarity selects the canonical pairs  $(\xi, \tilde{P}_{\xi} := P_{\xi} + g(t)\xi)$ .
- From the expression of the  $\beta$ -coefficients of  $\tilde{U}^J(t_1, t_0)$ , one can also see that the dynamics of  $(\xi, \tilde{P}_{\xi})$  is unitary w.r.t.  $J = K_J J_0 K_J^{-1}$  iff so is that of  $(\xi, P_{\xi})$ .

Therefore, there is a unique S<sup>1</sup>-translation invariant Fock representation of the fields at  $t_0$  such that the evolution of [all the pairs]  $(\xi, \tilde{P}_{\xi})$  is unitary.



Asking that there exist a complex structure such that the vacuum is in the domain of the evolution generator (in the Schrödinger picture) fixes g(t)=0.

#### Conclusion

- ★ We have introduced a new field parametrization for the Gowdy metric and completed it into a time dependent canonical transformation. In this way, we have redistributed the time dependence into an explicit and an implicit part, the latter being unitarily implementable in the quantum theory.
- There exists certain freedom in the deparametrization. Once a time gauge is chosen, the Fock representation is essentially unique if one demands a unitary dynamics and invariance under S<sup>1</sup>-translations.
- The physics of the quantum cosmolocial model does not depend on the choice of field parametrization or complex structure.
- ★ For any distinct (non-Fock) kind of quantization, there ought to exist a regime in which one would recover the Fock quantum theory.
- ★ Our result shows that it is possible to attain uniqueness in standard quantum field theory even for non-stationary systems.