

Asymptotically Safe  
Quantum Gravity  
and Cosmology

( M. Reuter )

# The 4 Fundamental Interactions : theoretical status

electromagnetic

weak

strong

}

class.: Yang-Mills theories

quant.: perturbatively

renormalizable QFTs

gravity:

class.: General Relativity, with

$$S[g_{\mu\nu}] \sim \int d^4x R$$

quant.:

???

Quantum field theory of spacetime metric  $g_{\mu\nu}(x)$  based upon  $S\sqrt{g}R$  is not renormalizable in perturbation theory:

increasing order in pert. th.  $\Rightarrow$

" number of counter terms  $\Rightarrow$

" " " undetermined parameters

→ no / very questionable predictivity at high energies  
("effective" rather than "fundamental" theory)

# Standard quantization of gravity $\hat{=}$

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degrees of freedom

carried by :

$$g_{\mu\nu}(x)$$

bare action:

$$\int d^4x F - g R$$

calculational method: perturbation theory in  $G$ ;

infinite cutoff limit at the

trivial "Gaussian" fixed point

What should be given up in order to arrive at  
a "fundamental" or "microscopic" quantum theory  
of gravity?

String Theory: d.o.f., action, calc. meth.

Loop Quantum Gravity: d.o.f., calc. meth.

Asymptotic Safety: calc. meth., action

## Asymptotic Safety Approach:

- ~ degrees of freedom carried by  $\mathcal{G}_{\mu\nu}$
  - ~ quantization/renormalization is non-perturbative in an essential way
  - ~ bare action  $\Gamma_*$  is not an ad hoc assumption, but a prediction:
- $\Gamma_* \sim \int d^d x F_g R + \text{"more"}$  is a non-Gaussian fixed point of the ( $\infty$ -dimensional, non-pert.) Wilsonian renormalization group flow
- ~ fixed point "controls" UV divergences

... provided it exists

## Weinberg's "asymptotic safety" conjecture (1979):

Perhaps Quantum Einstein Gravity can be defined nonperturbatively at a non-Gaussian fixed point.

$d = 2 + \varepsilon$ : FP known to exist

$d = 4$ : progress hampered by lack of appropriate calculational scheme

→ Use "effective average action"  
which seems ideally suited.

Wetterich 1993

Effective average action for gravity:

M.R. 1996

$$\Gamma_k [g_{\mu\nu}, \dots]$$

# The Effective Average Action $\Gamma_k$

- Wilson-type (coarse grained) free energy functional
- IR cutoff at  $k$ :  $\Gamma_k$  contains the effect of all quantum fluctuations with momenta  $p > k$ , not (yet) of those with  $p < k$ .
- modes with  $p < k$  suppressed in the path integral by  $(\text{mass})^2 = R_k(p^2)$



- $\Gamma_{k \rightarrow \infty} = S$ , classical (bare) action
- $\Gamma_{k \rightarrow 0} = \Gamma$ , standard effective action
- $\Gamma_k$  satisfies exact RG equation; symbolically:

$$\left. "k \partial_k \Gamma_k = \frac{1}{2} \text{Tr} \left[ (\Gamma_k^{(2)} + R_k)^{-1} k \partial_k R_k \right]" \right.$$

- Powerful nonperturbative approximation scheme:  
"truncate" the space of action functionals,  
project RG flow onto finite dimensional  
subspace

# Construction of $\Gamma_k$ for Gravity

- starting point:  $[d\delta_{\mu\nu} e^{-S[\delta_{\mu\nu}]}$
- decompose  $\delta_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu}$   
fixed backgrd.  
metric
- add background gauge fixing  $S_{gf}[h; \bar{g}]$  + ghost terms
- expand  $h_{\mu\nu}$  in  $\bar{D}^2$ -eigenmodes, and introduce  
IR cutoff  $k^2$ : only modes with generalized  
momenta ( $\bar{D}^2$ -eigenvalues) are integrated out.
- add sources: generating fctl.  $W_k[g_{\mu\nu}; \bar{g}]$

Legendre transf. ↓

$$g_{\mu\nu} - \langle \delta_{\mu\nu} \rangle \qquad \Gamma_k [g_{\mu\nu}, \bar{g}_{\mu\nu}, \text{ghosts}]$$

- derive exact RG equation from path integral:

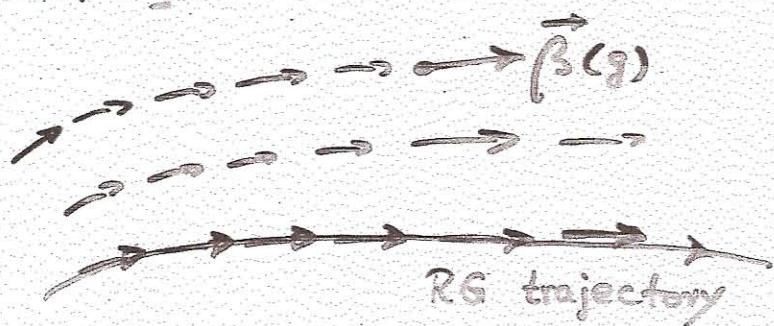
$$k \frac{\partial}{\partial k} \Gamma_k [g, \bar{g}, \dots] = \mathcal{T}(\dots)$$

$$\begin{aligned}\Gamma_\infty &= S \\ \Gamma_0 &= \Gamma\end{aligned}$$

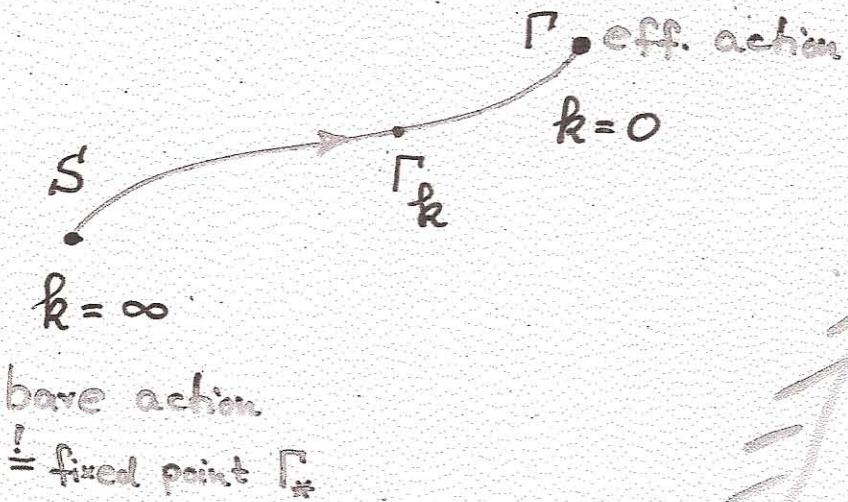
- "Ordinary" diffeomorphism invariant action:

$$\Gamma_k [g] = \Gamma_k [g, \bar{g} = g, \text{ghosts} = 0]$$

•  $A[\cdot]$



RG trajectory



Theory Space

# The Einstein - Hilbert Truncation

(M.R., 1996)

ansatz:

$$\Lambda_k = \bar{\Lambda}_k$$

$$\Gamma_k = -\frac{1}{16\pi G_k} \int d^d x \sqrt{g} \{ R - 2\Lambda_k \}$$

+ classical gauge fixing and ghost terms

two running parameters:

Newton constant  $G_k$ , dimensionless:  $g(k) = k^{d-2} G_k$

cosmological constant  $\Lambda_k$ , dimensionless:  $\lambda(k) = \Lambda_k / k^2$

insert ansatz into flow equation, expand

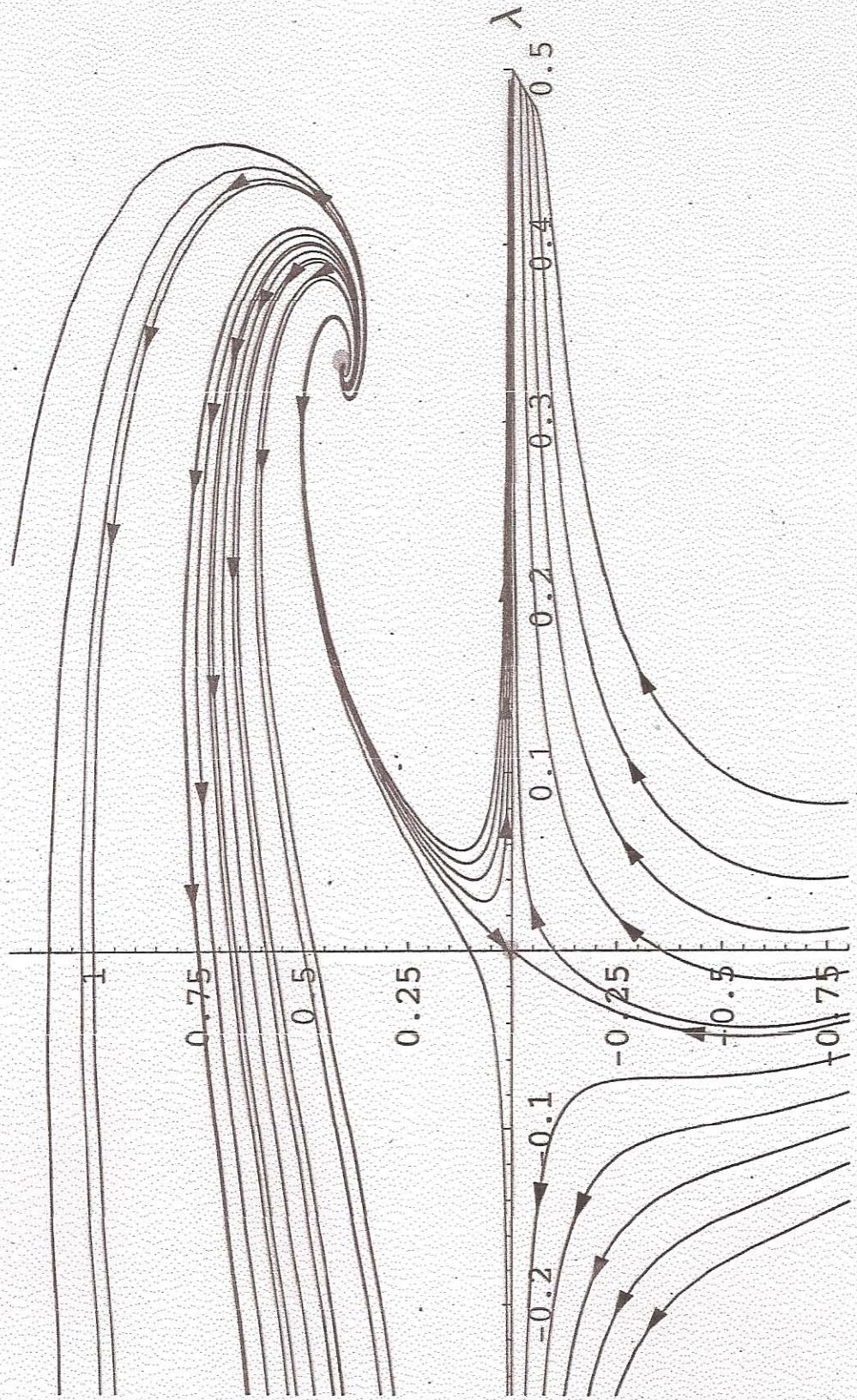
$$\text{Tr}[\dots] = (\dots) \int \sqrt{g} + (\dots) \int \sqrt{g} R + \dots$$

$$k \partial_k g(k) = \beta_g(g, \lambda)$$

$$k \partial_k \lambda(k) = \beta_\lambda(g, \lambda)$$

RG - Flow in the Einstein - Hilbert truncation

( $d = 4$ )



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M.R., F. Saueressig, hep-th/0110059

Reliability checks (universality, ...),  
more general truncations  $\Rightarrow$

The NGFP seems to exist in  
the full un-truncated theory  
beyond any reasonable doubt.

# Properties of QEG

- Background-independent quantization scheme:  
No special metric plays any distinguished role!

The background field method:

a) Fix arbitrary  $\bar{g}_{\mu\nu}$

b) Quantize (nonlinear) fluctuations  $h_{\mu\nu} \equiv g_{\mu\nu} - \bar{g}_{\mu\nu}$   
in the backgrd. of  $\bar{g}_{\mu\nu}$

c) Adjust  $\bar{g}_{\mu\nu}$  such that  $\langle h_{\mu\nu} \rangle = 0$

$$\leadsto g_{\mu\nu} \equiv \langle g_{\mu\nu} \rangle = \bar{g}_{\mu\nu}$$

- Fundamental action  $S \approx \Gamma_*$  is a prediction:

No special action plays any distinguished role!

The only input: field contents + symmetries  
 $\hat{=}$  theory space

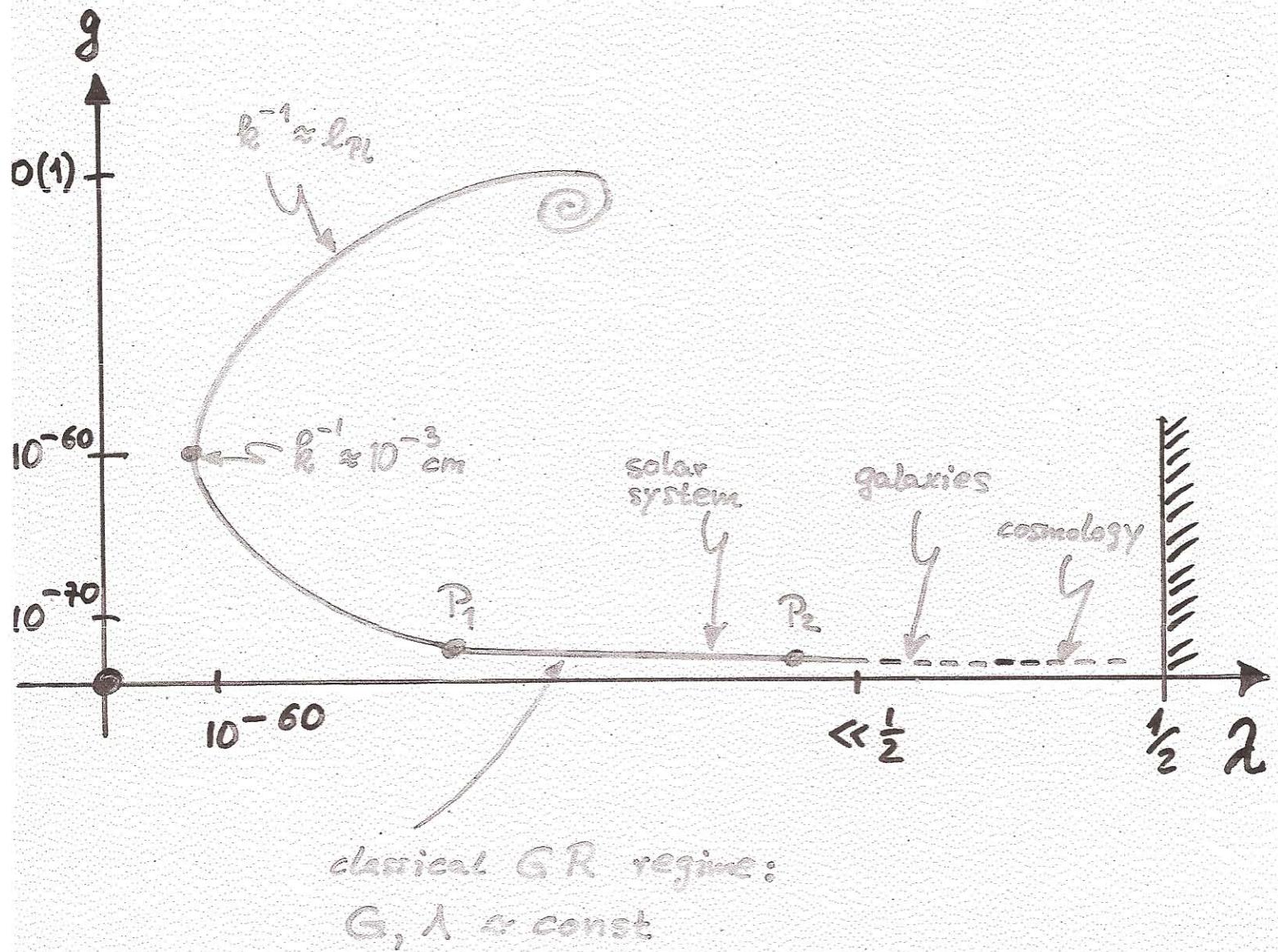
The output:  $\Gamma_* = S_{\text{Einstein-Hilbert}} + \text{"more"}$

Einstein-Hilbert action is often a reliable approximation,  
but not distinguished conceptually.

- Combination average action + background method successfully tested in QED and Yang-Mills theory.
- QEG reproduces successes of classical General Relativity:  
exists trajectories with long classical regime ( $G = \text{const}$ ,  $\Lambda = \text{const}$ )
- QEG reproduces results of "QFT in curved spacetimes" in the classical regime:  
Hawking radiation, cosmological particle creation, ...
- Coexistence Asymptotic Safety  $\leftrightarrow$  perturbative non-renormalizability well understood;  
A.S. tested in models (Gross-Neveu, ...)
- Consistent quantization of gravity seems not to require "fine tuning" of matter system, special symmetries (SUSY, etc.), or unification with the other fundamental forces of Nature.

# (14)

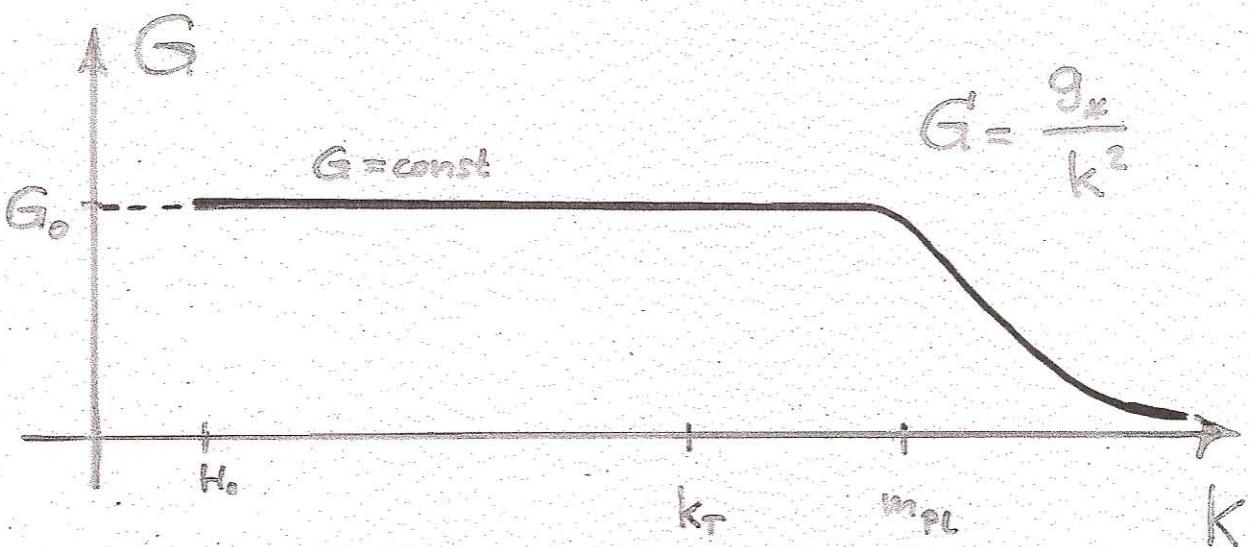
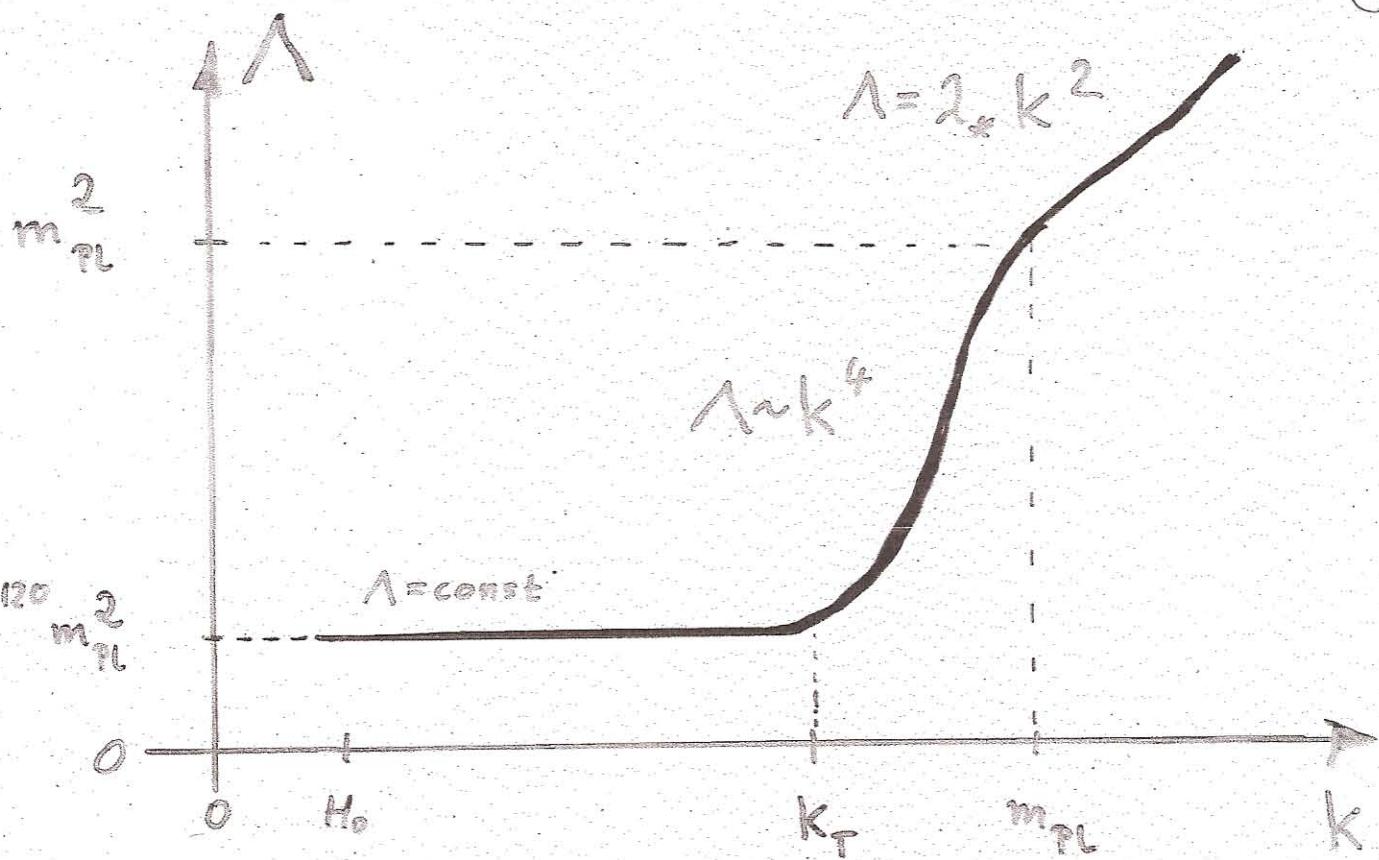
## The RG trajectory "realized in Nature"



"Today" in cosmology :

$$z_{\text{cosmo}} = \frac{\Delta_{\text{cosmo}}}{k_{\text{cosmo}}^2} \approx H_0^2 = O(1) !!!$$

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Definition of Planck scale:  $m_{PL} \equiv l_{PL} \equiv G_0^{-\frac{1}{2}}$

Are there observable / observed  
physical phenomena related to  
the RG-running implied by QEG ?

Candidates in cosmology:

- a) Entropy carried by cosmological matter  
(CMBR photons, ...)

$$\left[ S_{\text{CMBR}} / \text{Hubble volume} \right]_{\text{today}} \approx 10^{88} \gg 1$$

most plausible initial value =  $O(1)$  !

- b) Automatic inflation in the NGFP regime

↑ no inflaton needed,

no reheating necessary;

$\Lambda(k)$  large: cosmolog. const. drives inflation

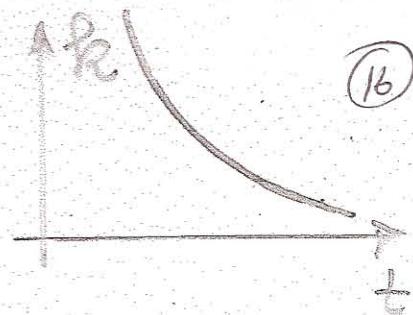
$\Lambda(k)$  small: inflation stops automatically

- c) Generation of primordial density perturbations

Spectrum scale free:

big bang = "critical phenomenon" governed  
by the NGFP

For monotonic cosmological cutoff identification  $k = k(t)$



and below the NGP regime:

$\Lambda(t) \equiv \Lambda(k = k(t))$  is a positive and decreasing function of time.



Energy transfer into the matter system ("heating up").

Example: de Sitter plus test particle

$$ds^2 = -[1 + 2\phi_N(r)] dt^2 + [1 + 2\phi_N(r)]^{-1} dr^2 + r^2 d\Omega^2$$

$$\phi_N(r) = -\frac{1}{6}\Lambda r^2$$

Newtonian interpretation:

$\Lambda > 0$ :



$\Lambda$  large  $\rightarrow$  Small

Decreasing  $\Lambda$  increases the test particle's potential energy!

# RG - Improved Cosmology

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Spatially flat RW geometry:

$$ds^2 = -dt^2 + \alpha(t)^2 [dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2)]$$

$$T_{\mu\nu} = \text{diag} [-s(t), p(t), p(t), p(t)]$$

The scale factor is determined by

$$R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = -\Lambda(t) g_{\mu\nu} + 8\pi G(t) T_{\mu\nu}$$

where  $\Lambda(t) = \Lambda(k=k(t))$ ,  $G(t) = G(k=k(t))$

"Improved" Einstein's eq.  $\iff$

modified Friedmann equation:

$$H^2 = \left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi}{3} G(t) g + \frac{1}{3} \Lambda(t)$$

modified conservation law  $D^\mu [-\Lambda(t)g_{\mu\nu} + 8\pi G(t)T_{\mu\nu}] = 0$ :

$$\dot{s} + 3H(s+p) = -\frac{\dot{\Lambda} + 8\pi g \dot{G}}{8\pi G}$$

$$\sim D^\mu T_{\mu 0}$$

Describes energy exchange between matter and fields  $\Lambda, G$  ("vacuum").

## A special case:

Separate conservation of matter and vacuum energy

$$\left\{ \begin{array}{l} \dot{\phi} + 3H(\phi + p) = 0 \quad , \text{i.e. } D^k T_{\mu\nu} = 0 \\ \dot{\lambda} + 8\pi g \dot{G} = 0 \quad \text{"consistency condition"} \end{array} \right.$$

A. Bonanno, M.R. (2002)

M.R., F. Saueressig (2005)

## General case:

No separate conservation

A. Bonanno, M.R.  
hep-th/0706.0174

# Entropy Generation

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modified conservation law  $\Rightarrow$

$$\frac{d}{dt}(S a^3) + P \frac{d}{dt}(a^3) = \tilde{\mathcal{P}}(t)$$

with  $\tilde{\mathcal{P}}(t) = -\left(\frac{\lambda + 8\pi g G}{8\pi G}\right) a^3$

Cosmological fluid within unit comoving volume:

proper volume:  $V = a^3$

contains energy  $U = S a^3$

and entropy  $S = s a^3 \Rightarrow$

$$\frac{dU}{dt} + P \frac{dV}{dt} = \tilde{\mathcal{P}}$$

$$dU + P dV = T dS \Rightarrow$$

$$\tilde{\mathcal{P}} = T \frac{dS}{dt}$$

Classical FRW:  $\tilde{\mathcal{P}} = 0$ ,  $\frac{d}{dt} S = 0$ , adiabat. expans.

Improved cosmology: Comoving entropy changes as a consequence of the RG effects

$$\frac{d}{dt} S = \frac{d}{dt} (\gamma a^3) = \mathcal{P} \quad \text{with}$$

$$\mathcal{P} \equiv \underline{\tilde{\mathcal{P}}}$$

Rate of entropy production:

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$$\mathcal{P}(t) = - \left( \frac{\dot{\lambda} + 8\pi g \dot{G}}{8\pi G} \right) a^3 \cdot \frac{1}{T}$$

Need non-equilibrium relationship  $T \leftrightarrow P, S$ !

The Postulate:

Entropy generation disturbs equilibrium as little as possible, expansion is "almost adiabatic".

→ Lima (1997)

Concrete assumption about the non-equilibrium thermodynamics of the cosmolog. fluid:

The matter system consists of

$$n_{\text{eff}} = n_b + \frac{7}{8} n_f \quad \text{species of massless d.o.f.},$$

all at the same temperature  $T$ , with

$$\text{equation of state } p = \frac{1}{3} \mathcal{E} \quad \text{and}$$

$$\mathcal{S} = \mathcal{S}(T) = \lambda^4 T^4, \quad \lambda^4 = \frac{\pi}{30} n_{\text{eff}}.$$

No assumption is made about  $\mathcal{S} = \mathcal{S}(T)$ .  
... as in equilibrium!

The postulate implies:

$$\mathcal{P} = \frac{1}{T} \tilde{\mathcal{P}} = \frac{1}{T} \left[ \frac{d}{dt} (g a^3) + P \frac{d}{dt} (a^3) \right]$$

$$T = g^{1/4} / \chi \quad t_p = g/3$$

$$= \frac{d}{dt} \left[ \frac{4}{3} \chi a^3 S^{3/4} \right] = S = \lambda a^3$$

integrate:

$$S(t) = \frac{4}{3} \chi a^3 S^{3/4} + S_c$$

the relevant solutions have  $S(t=0) = S_c$

$$S(t) = \frac{2\pi^2}{45} n_{\text{eff}} T(t)^3 + \frac{S_c}{a^3}$$

For  $S_c = 0$  exactly the proper entropy density of radiation in equilibrium!  $\Rightarrow$

The "heat transfer" into the matter system caused by the RG running can account for the entire entropy carried by the massless fields today.

In particular  $S_{\text{CMBR}} / \text{Hubble vol.} \approx 10^{88}$  can evolve from  $S(\text{big bang}) = 0$  without any standard dissipative process.

# Solutions to the improved Einstein eq.

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(1) Solve RG eqs. for EH-truncation with realistic parameter values  $g_T = 10^{-60}$ ,  $k_T = 10^{-30} m_{Pl}$ .

(2) Solve imp. Einstein eq. with  $\dot{L}(t) \sim H(t)$  and  $P = w g_+$ .

Example: NGFP regime

$$\begin{cases} \Lambda(k) = \lambda_* k^2 \\ G(k) = g_* / k^2 \end{cases}$$

1-parameter family of solutions labeled by  $\Omega_\lambda^* \in (0, 1)$ :

$$a(t) \sim t^\alpha, \quad \alpha = \frac{2}{(3+3\omega)(1-\Omega_\lambda^*)}$$

$$g(t) = \frac{2\Omega_\lambda^*}{9\pi g_* \lambda_* (1+\omega)^4 (1-\Omega_\lambda^*)^3} \cdot \frac{1}{t^4}$$

$$G(t) = \frac{3 g_* \lambda_* (1+\omega)^2 (1-\Omega_\lambda^*)^2}{4\Omega_\lambda^*} \cdot t^2$$

$$\Lambda(t) = \frac{4\Omega_\lambda^*}{3(1+\omega)^2 (1-\Omega_\lambda^*)^2} \cdot \frac{1}{t^2}$$

$$\Rightarrow \Omega_\lambda \frac{\dot{\Omega}_\lambda}{\Omega_\lambda^2} = \text{const} = \Omega_\lambda^{**}, \quad \Omega_M = 1 - \Omega_\lambda$$

## Properties of the NGFP solutions:

$\Omega_\Lambda^* \in (\frac{1}{2}, 1)$ :

$$a(t) \sim t^\alpha, \alpha > 1$$

- accelerated expansion, "power law inflation"
- $\Lambda$ -dominated:  $\Omega_\Lambda > \Omega_M = 1 - \Omega_\Lambda$
- $P > 0, S(t \rightarrow 0) = S_c (= 0)$
- no particle horizon
- $\alpha \rightarrow \infty$  for  $\Omega_\Lambda^* \nearrow 1$  :  $\approx$  de Sitter

$\Omega_\Lambda^* = \frac{1}{2}$ :

$$a(t) \sim t$$

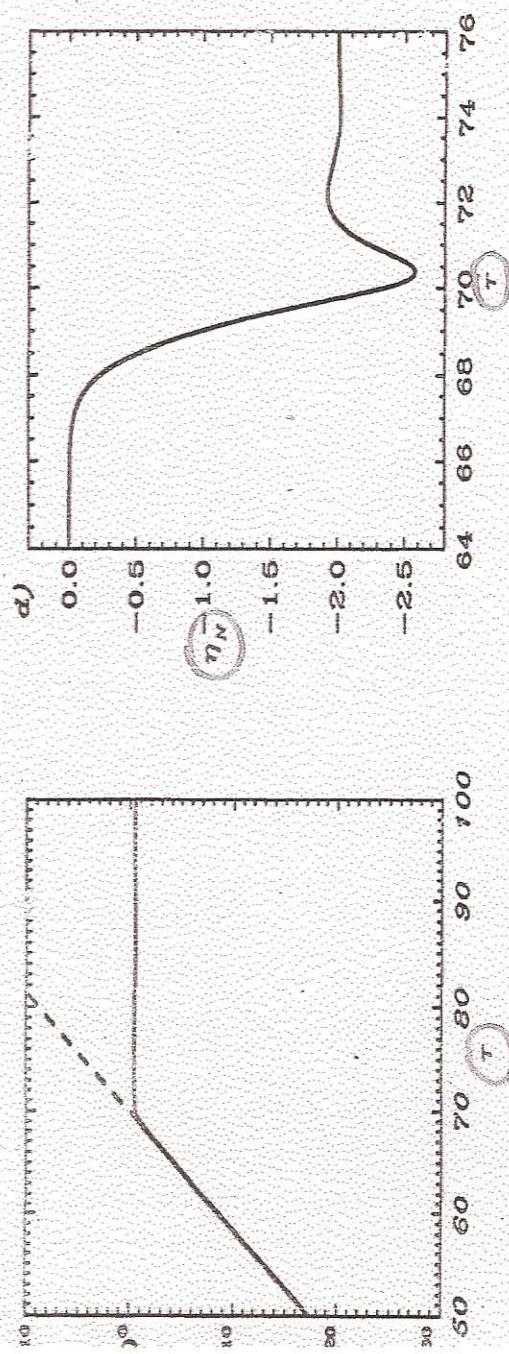
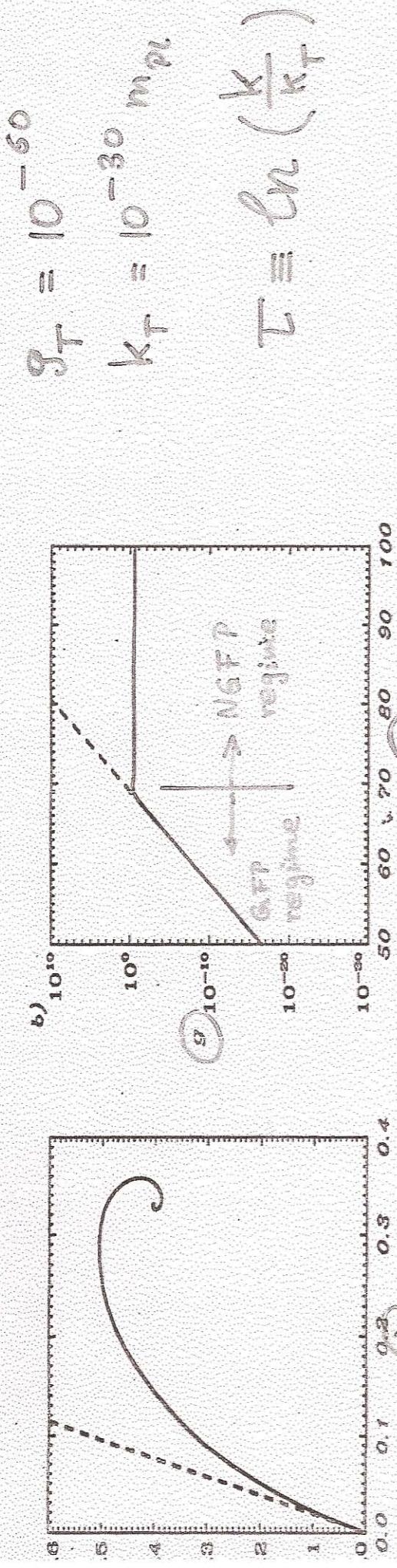
- $\Omega_M = \Omega_\Lambda$
- $P = 0 ; D^\mu T_{\mu\nu} = 0, \dot{\lambda} + 8\pi p G = 0$
- no particle horizon

$\Omega_\Lambda^* \in (0, \frac{1}{2})$ :

$$a(t) \sim t^\alpha, \alpha < 1$$

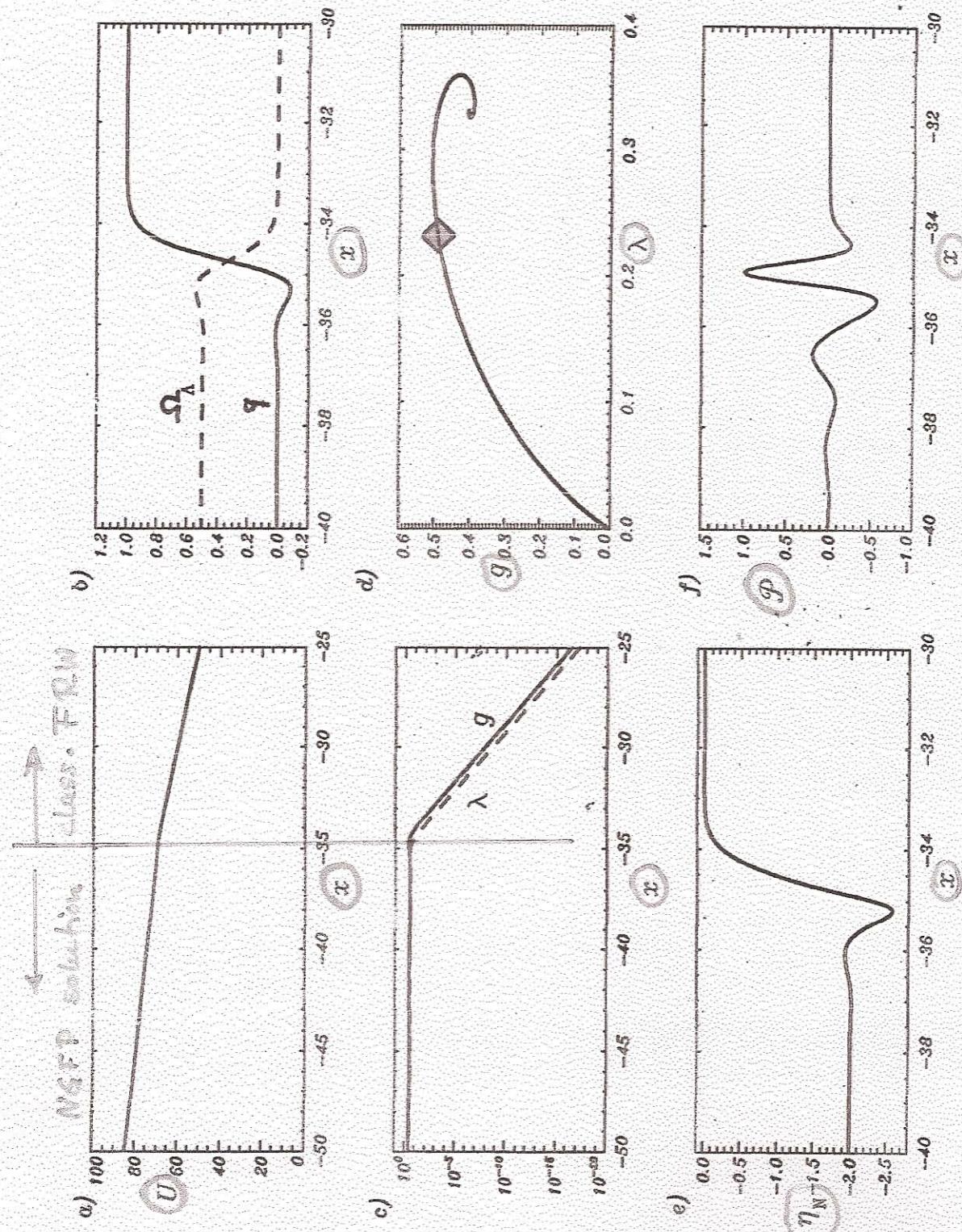
- decelerated expansion
- matter dominated:  $\Omega_M > \Omega_\Lambda$
- $P < 0$ , entropy decreases.
- $S(t \rightarrow 0) = +\infty$

## The RG-trajectory with realistic parameter values



# Complete Cosmology:

$$\Omega_{\Lambda}^* = \frac{1}{2} \quad (\alpha = 1)$$



$$U = \mathcal{L}_n \frac{1}{1+r}$$

$$X = \mathcal{L}_n \frac{\partial}{\partial r}$$

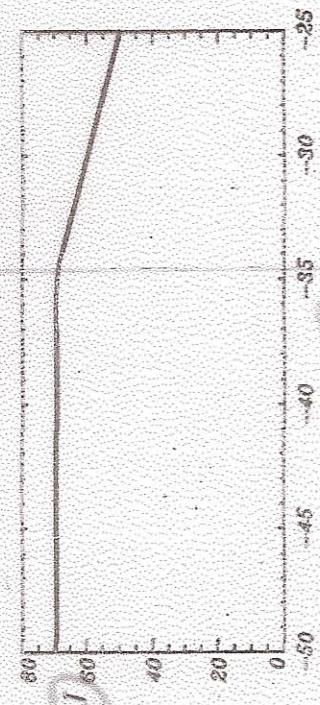
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## Complete Cosmology :

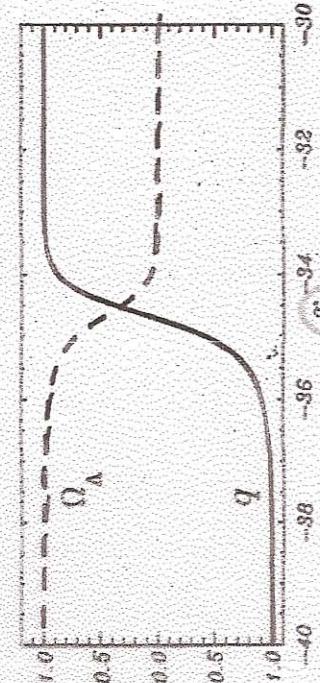
$$\Omega_K^* = 0.98$$

$$(d = 25)$$

a) NMF sol.  $\propto ds^2$  close to zero with  $\omega_0 = 0$

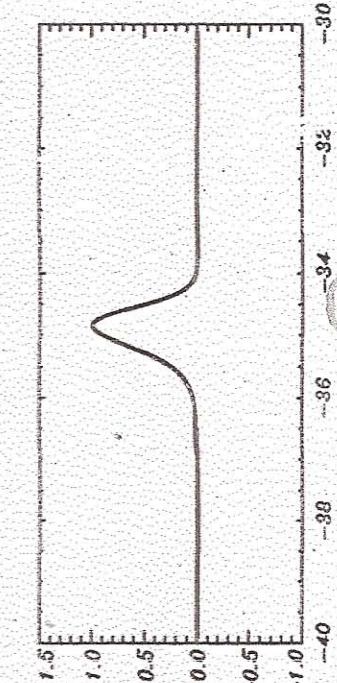
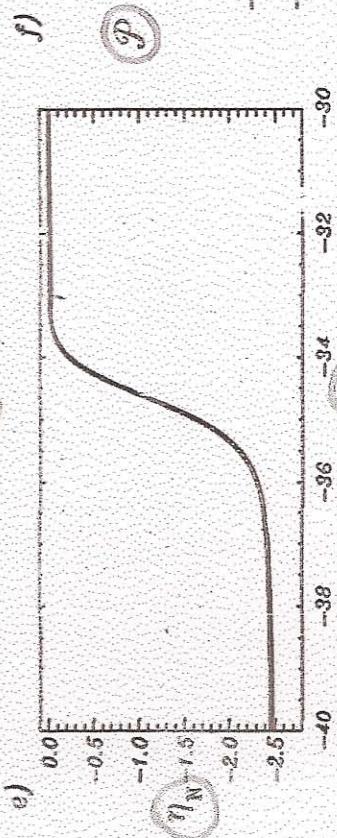
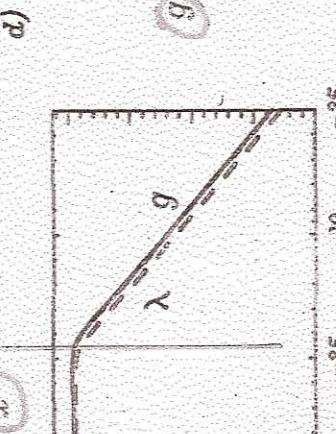


b) NMF sol.  $\propto ds^2$  close to zero with  $\omega_0 \neq 0$



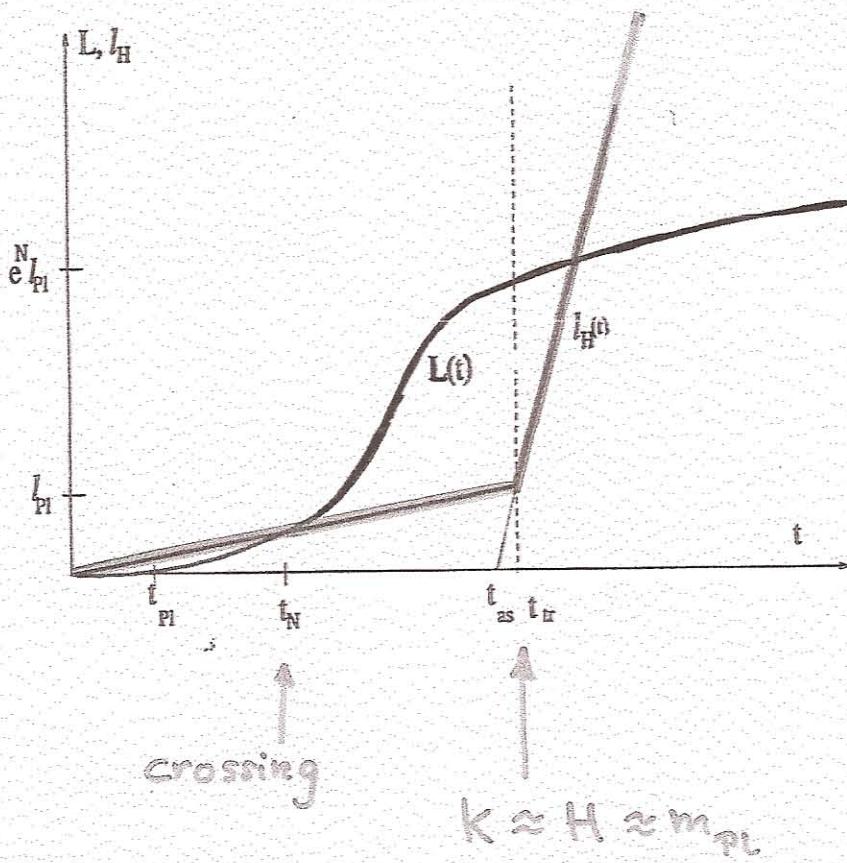
$$U \equiv \ell_n \frac{H}{H_T}$$

$$X \equiv \ell_m \frac{\partial}{\partial T}$$



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NSFP sol. class. FRW

 $\alpha \gg 1$ :

Hubble radius:

$$l_H(t) \equiv \frac{1}{H(t)}$$

Proper length of structure with comoving length  $\Delta x$ :

$$L(t) = \Delta x \cdot \alpha(t)$$

example:  $S_L^* = 0.98$ ,  $d = 25$ 

$$t_{tr} = 25 t_P$$

$$N = 60 \sim t_{60} = 2.05 t_P \sim \\ = t_{tr}/12.2$$

# Generating Primordial Density Perturbations

Decoherence Scenario:

Classical fluctuations  $\delta g(\vec{x})$  originate from quantum fluctuations of the curvature,  $\delta R_{...}(\vec{x})$ :

$$\langle\langle \delta g(\vec{x}) \delta g(0) \rangle\rangle$$

$$\propto \langle \delta R_{...}(\vec{x}) \delta R_{...}(0) \rangle \propto \frac{1}{|\vec{x}|^4}$$

↓  
dictated by properties of  
the UV-TP ( $\gamma_k = -2$ )

$$\text{for } a|\vec{x}| \ll l_H$$

→  $\delta g$  - power spectrum for sub-Hubble scale modes is scale invariant!

( $n=1$ , "Harrison-Zeldovich")

These modes cross the Hubble radius and become "super-Hubble" in the

NFLP cosmologies with  $\alpha > 1$ .

they can act as seed for structure formation.

## Conclusion

Cosmology is a natural laboratory for confronting the predictions of asymptotically safe QEG with observations.

Candidates for observable / observed phenomena possibly explained by QEG :

- Entropy of matter
- primordial density perturbations
  - NGFP regime is a "critical phenomenon"
- $\Lambda$ -driven inflation
- 
- 
-