

The positive formalism: spacetime and locality

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(Abstract) Positive Formalism

Obtain an **axiomatic framework** for encoding physical theories with:

- **types**

- ▶ a collection of **process types**
- ▶ a collections of **interface types**
- ▶ a **boundary map** from process types to interface types $M \mapsto \partial M$

- **objects**

- ▶ a partially ordered vector space of **probes** \mathcal{P}_M per process type M
- ▶ a partially ordered vector space of **generalized boundary conditions** \mathcal{B}_Σ per interface type Σ

- **compositions**

- ▶ **decomposition** of interface types $\Sigma = \Sigma_1 \cup \dots \cup \Sigma_n$ with associated positive isomorphism $\mathcal{B}_{\Sigma_1} \otimes \dots \otimes \mathcal{B}_{\Sigma_n} \rightarrow \mathcal{B}_\Sigma$
- ▶ **composition** of process types M and N to $M \cup N$ and probes $\diamond : \mathcal{P}_M \times \mathcal{P}_N \rightarrow \mathcal{P}_{M \cup N}$

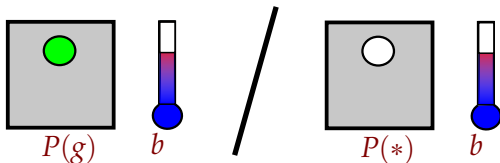
- **values**: positive pairings $[[\cdot, \cdot]]_M : \mathcal{P}_M \times \mathcal{B}_{\partial M} \rightarrow \mathbb{R}$

Measurement probabilities

For process type M consider the probe $P(*) \in \mathcal{P}_M^+$ encoding a measurement device and $P(g)$ encoding in addition a selected outcome.

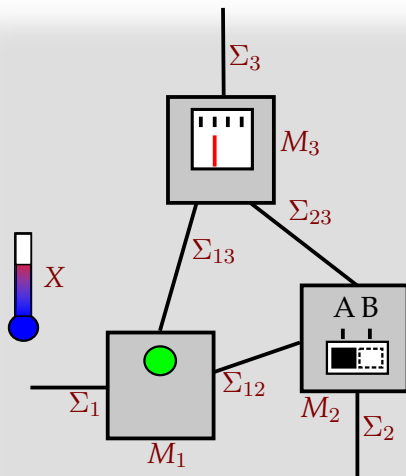
Given $b \in \mathcal{B}_{\partial M}^+$ the **probability** Π for an affirmative outcome is:

$$\Pi = \frac{[P(g), b]_M}{[P(*), b]_M}$$



Since $0 \leq P(g) \leq P(*)$ we have $0 \leq \Pi \leq 1$ (if $[P(*), b]_M \neq 0$).

An example



M_1 : light

- ▶ $P(*)$ (apparatus)
- ▶ $P(r)$ (light red)
- ▶ $P(g)$ (light green)

M_2 : switch

- ▶ $Q(A)$ (position A)
- ▶ $Q(B)$ (position B)

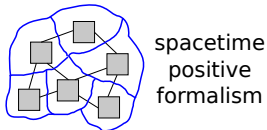
M_3 : meter

- ▶ $R[*]$ (apparatus)
- ▶ $R[a, b]$ (range $[a, b]$)
- ▶ R (reading)





+ spacetime + locality

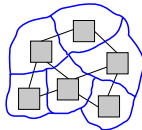




abstract
positive
formalism



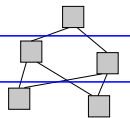
+ spacetime + locality



spacetime
positive
formalism

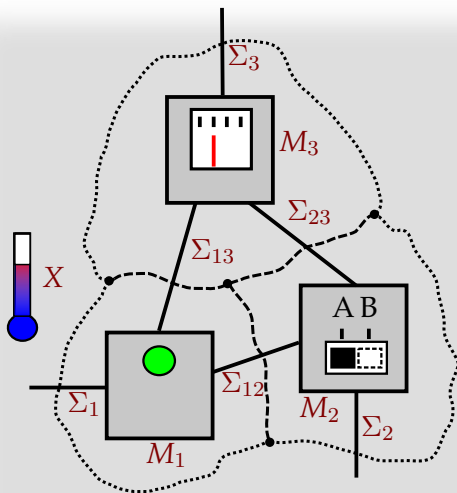


+ time + causality



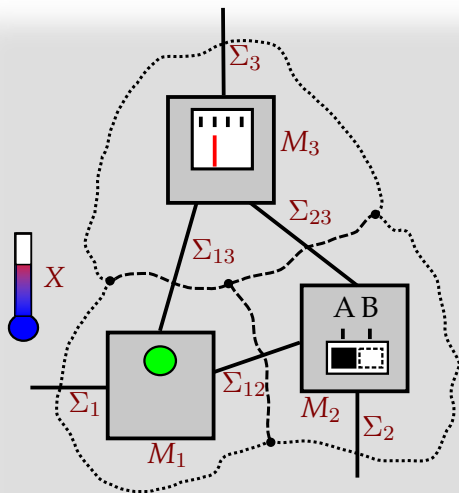
convex
operational
framework

Adding spacetime and locality



Spacetime locality provides a powerful organizing principle. Processes only interface with **adjacent** processes. This decreases considerably the inter-connectivity of the graph.

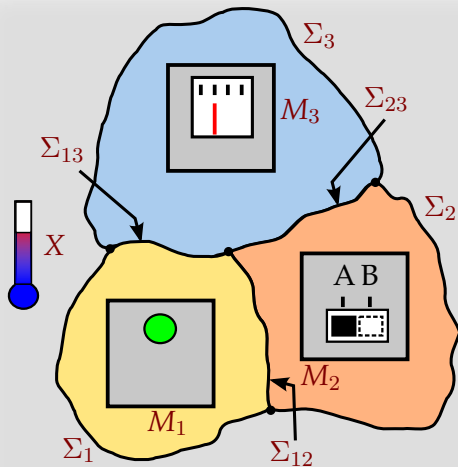
Adding spacetime and locality



Spacetime locality provides a powerful organizing principle. Processes only interface with **adjacent** processes. This decreases considerably the inter-connectivity of the graph.

We associate a spacetime **region** to any process and a **hypersurface** to any interface. These form a **dual complex** to the graph of boxes and links.

Adding spacetime and locality

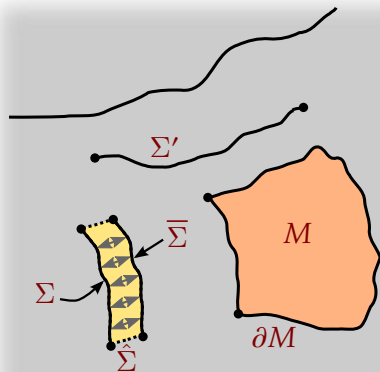


We may forget about the graph and identify **process types** with **regions** and **interface types** with **hypersurfaces**.

This framework is called the **local positive formalism**.

TQFT – manifolds

Fix dimension d . Manifolds are **oriented** and may carry **additional structure**: differentiable, metric, complex, etc.



region M

d -manifold with boundary.

hypersurface Σ

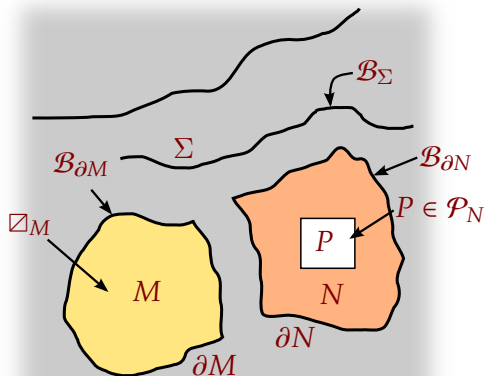
$d - 1$ -manifold with boundary,
with germ of d -manifold.

slice region $\hat{\Sigma}$

$d - 1$ -manifold with boundary,
with germ of d -manifold,
interpreted as “infinitely thin”
region.

positive TQFT – axioms I

Manifolds **need not be oriented**.



(P1) per hypersurface Σ

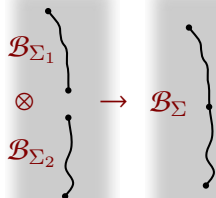
A partially ordered vector space \mathcal{B}_{Σ} .

(P4) per region M

A partially ordered vector space \mathcal{P}_M of linear maps $\mathcal{B}_{\partial M} \rightarrow \mathbb{R}$. $\mathcal{P}_M^+ \subset \mathcal{P}_M$ are **positive maps**. A unit $\boxplus_M \in \mathcal{P}_M^+$.

The choice of an element of \mathcal{P}_M for a region M is indicated by a **label**.

positive TQFT – axioms II

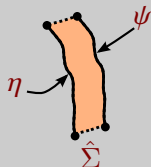


(P2) per hypersurface decomposition

$$\Sigma = \Sigma_1 \cup \Sigma_2$$

A positive vector space isomorphism

$$\tau : \mathcal{B}_{\Sigma_1} \otimes \mathcal{B}_{\Sigma_2} \rightarrow \mathcal{B}_{\Sigma}.$$



(P3x) per hypersurface Σ

The unit gives rise to a **positive-definite sharply positive inner product**

$$(\psi, \eta)_{\Sigma} := \boxtimes_{\hat{\Sigma}} \circ \tau(\psi \otimes \eta).$$

positive TQFT – axioms III

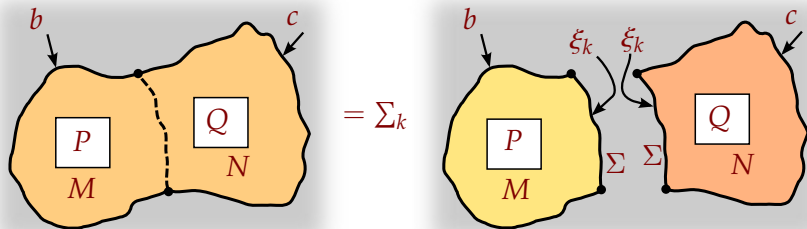
(P5a) per disjoint composition of regions $M = M_1 \sqcup M_2$

$\diamond : \mathcal{P}_{M_1} \times \mathcal{P}_{M_2} \rightarrow \mathcal{P}_M$ given by $(P_1 \diamond P_2)(\tau(\psi_1 \otimes \psi_2)) = P_1(\psi_1)P_2(\psi_2)$.

Also $\Box_{M_1} \diamond \Box_{M_2} = \Box_M$.

(P5b) per self-composition of region M to M_1 along Σ

$\diamond : \mathcal{P}_M \rightarrow \mathcal{P}_{M_1}$ given by $(\diamond P)(\psi) = \sum_k P(\tau(\psi \otimes \xi_k \otimes \xi_k))$. $\diamond \Box_M = \Box_{M_1}$.



Here, $\{\xi_k\}_{k \in I}$ is an orthonormal basis of \mathcal{B}_Σ .

Main reference

R. O., *A local and operational framework for the foundations of physics*,
arXiv:1610.09052.